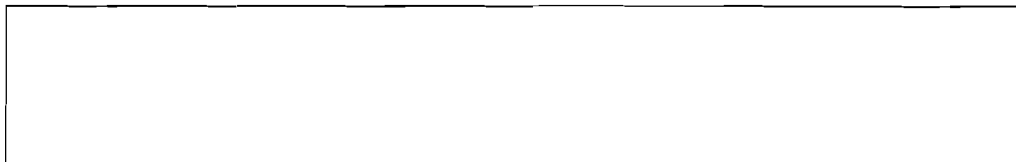


**(U) Office of the Director of  
National Intelligence  
Office of the Inspector General**



**(U) Semiannual Report  
1 July 2010 – 31 December 2010**



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(b)(3)

**(U) The Inspector General of the Office of the Director of National Intelligence (ODNI) provides policy direction for, and plans, conducts, supervises, and coordinates inspections, audits, investigations, and other inquiries relating to the programs and operations of the ODNI and the authorities and responsibilities of the Director of National Intelligence (DNI). The Inspector General is charged with detecting fraud, waste, and abuse; evaluating performance; and making recommendations to promote economy, efficiency, and effectiveness in the ODNI and the Intelligence Community.**



## **(U) A Message From the Inspector General**

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**(U) The Office of the Director of National Intelligence (ODNI) Office of the Inspector General (OIG) made significant contributions to the missions of the ODNI and the Intelligence Community during the 1 July 2010 through 31 December 2010 reporting period.**

**(U) In addition, during this reporting period there were significant developments in both the leadership of the ODNI and the legislation supporting Intelligence Community (IC) Offices of Inspector General. On 9 August 2010, the Honorable James R. Clapper was sworn in as the fourth Director of National Intelligence (DNI). As DNI, Director Clapper oversees the United States Intelligence Community and serves as the principal intelligence advisor to the President. On 1 September 2010, Robert M. Cardillo was sworn in to serve as the first Deputy Director of National Intelligence for Intelligence Integration. Director Clapper and his leadership team have initiated organizational, personnel, and policy changes that will shape the ODNI and its relationships with the rest of the Intelligence Community in the years to come. The OIG is tracking these developments closely and will assess the impact of these changes in future audits, inspections and other reviews.**

**(U) On 7 October 2010, the President signed into law the Fiscal Year (FY) 2010 Intelligence Authorization Act (IAA), which contains several provisions enhancing Inspector General (IG) oversight in the IC. One provision establishes an IG for the IC who is appointed by the President and confirmed by the Senate, with a requirement to report both to Congress and the DNI. The IAA also strengthens the independence of the Inspectors General of the Defense Intelligence Agency, National Geospatial-Intelligence Agency (NGA), National Reconnaissance Office, and the National Security Agency by including these agencies as Inspectors General of Designated Federal Entities (DFE) under the Inspector General Act of 1978. In addition, the IAA accords statutory status to the Intelligence Community Inspectors General Forum, whose mission is to enhance collaboration and coordination, reduce redundancy, and strengthen the collective role of the Intelligence Community Inspectors General in conducting cross-IC oversight.**

**(U) During this reporting period the OIG conducted audits, inspections, investigations, and reviews designed to improve the efficiency and effectiveness of ODNI and IC programs. These matters are described in detail in the Completed Projects section of this report. The OIG also continued to conduct a variety of investigations of allegations of employee misconduct. In addition, we continued to support the DNI and senior ODNI officials in working diligently to implement pending OIG recommendations.**

(U) From a community perspective, the OIG continued to enhance collaboration in the IC Inspector General community. On 7 December 2010, the OIG hosted a meeting with the Council of the Inspectors General on Integrity and Efficiency (CIGIE) leadership team to acquaint the new DFE Inspectors General with CIGIE and to provide a forum for CIGIE to acquaint the new DFE Inspectors General with the mission, activities, and expectations that go along with CIGIE membership. The four new DFE Inspectors General discussed their respective missions and organizations. The CIGIE leadership team, the new DFE Inspectors General, and veteran IC IG who already belong to CIGIE identified opportunities for collaboration, discussed their respective peer review activities, and described how the IC IG Forum promotes collaborative projects and best practices for conducting IC audits, inspections and investigations.

(U) In October 2010, I appointed Irvin C. "Chuck" McCullough to serve as Deputy Inspector General. Chuck comes to the OIG with an outstanding record of prior government service including, service as Assistant Inspector General for Investigations at the Office of Inspector General, National Security Agency; Senior Counsel for Law Enforcement and Intelligence, Office of General Counsel, Department of Treasury; and Supervisory Special Agent, Appellate Unit, Inspections Division, Federal Bureau of Investigation. We are fortunate to have Chuck on the OIG leadership team. Chuck succeeded Scott S. Dahl, who served as Deputy Inspector General from April 2007 to October 2010. Scott's distinguished tenure at the ODNI OIG was recognized when he was awarded the Intelligence Community Superior Service Medal in October 2010.

(U) The IC IG Community was deeply saddened by the passing of NGA Inspector General Thomas J. Burton in January 2011. Tom served the IC for over 28 years, including nearly 3 years as NGA Inspector General. Tom set high standards for objective, independent evaluations and will be remembered for his tenacity, his support and mentorship of his colleagues, and his commitment to the highest standards of public service.

(U) We appreciate the continued support for our mission from ODNI management and Congress. As the OIG continues to work with the ODNI's new leadership team and prepares to transition to the Office of the Inspector General of the Intelligence Community, I want to express my appreciation for the talent and dedication of OIG personnel in the ODNI and across the IC.

Roslyn A. Mazer  
Inspector General  
February 2011

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(U) The OIG is comprised of the following divisions:

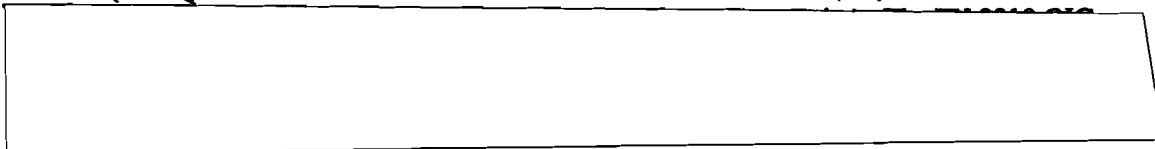
**(U) Figure 2. OIG Divisions 2010**

<b>Office of the Inspector General Divisions</b>	
<b>Audit Division</b>	Executes program, compliance, and financial audits and evaluations of ODNI and IC programs, information technology, procurement, acquisitions, internal controls, financial statements, and financial management.
<b>Inspections Division</b>	Conducts inspections, reviews, and evaluations to improve ODNI and IC-wide performance; examines information access, collaboration, intelligence collection, analysis, and compliance with laws and regulations.
<b>Investigations Division</b>	Investigates allegations of violations of criminal laws and administrative regulations arising from the conduct of ODNI and IC employees and contractors.
<b>Management Reviews Division</b>	Conducts management and programmatic reviews of the ODNI, its centers, and the IC; evaluates management and processes to assist the IC in developing and implementing processes and procedures to improve both effectiveness and efficiency.
<b>Oversight and Policy Division</b>	Performs reviews of programs and activities to assess whether oversight and compliance are effective, monitors and analyzes trends and patterns concerning intelligence oversight activities across the IC, and prepares reports on intelligence oversight issues in coordination with the ODNI Office of General Counsel for the President's Intelligence Advisory Board's Intelligence Oversight Board.

**(U) OIG Personnel and Resources**

~~(U//FOUO)~~ The OIG has a diverse, highly-experienced workforce with a variety of professional and intelligence backgrounds. The OIG staff has experience within other OIGs across the IC, and also as collectors, analysts, and project managers from military and civilian intelligence organizations.

~~(S//NF)~~ The OIG's authorized workforce level for Fiscal Year (FY) 2010 was 33. As of



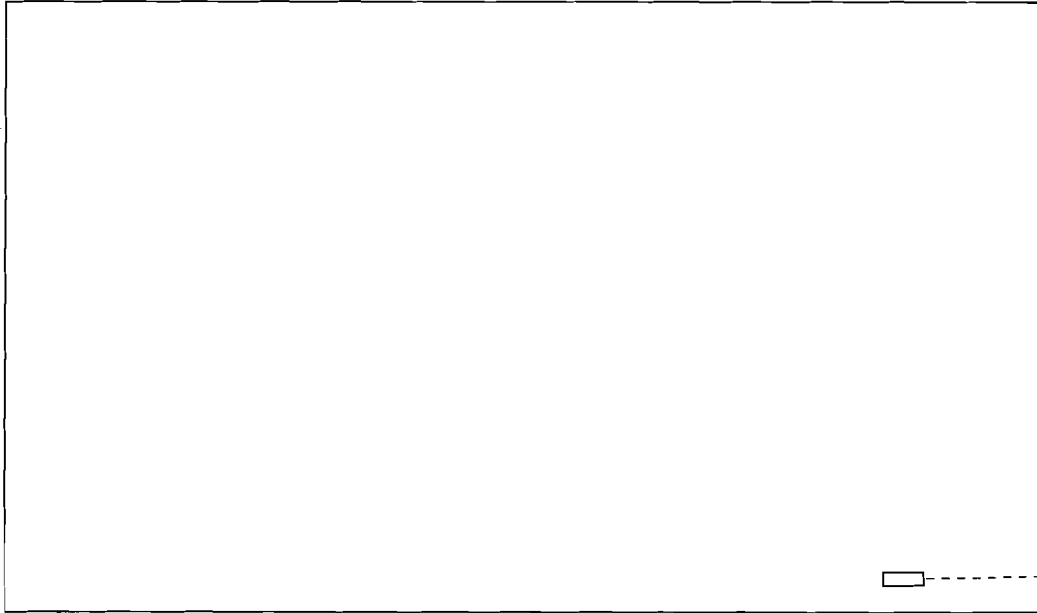
(b)(3)





training. The amounts and percentages of OIG expenses before the Comptroller took control of end of year funds are identified in Figure 3 below.

**(U) Figure 3. OIG FY 2010 Budget Expenses**



(b)(3)

~~(S//NF)~~ The OIG worked with ODNI to rectify discrepancies in the FY 2010 account and budget projections for FY 2011.



The ODNI

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Comptroller will provide additional funding to cover these costs.

~~(U//FOUO)~~ Because the Federal government operated under a Continuing Resolution at the close of this reporting period, the FY 2011 resource outlook mirrors the 2010 profile in Figure 3 above. The OIG is examining the need for additional resources and positions to satisfy the FY 2010 Intelligence Authorization Act (IAA) establishment of an IG for the IC.

## **II. (U) IC Inspectors General Activities**

(U) To achieve its oversight objectives, the ODNI OIG facilitates collaboration, information sharing, and strategic planning among the IC IGs. This section highlights some of the ways the OIG fulfilled this mission during this reporting period.

### **(U) IC Inspectors General Forum**

(U) The ODNI IG chairs the IC IG Forum, which meets quarterly to consult on topics of common interest, to facilitate the development of cross-agency projects, and to promote the role of IC IGs across the IC. The ODNI IG performs such functions as hosting meetings, developing agendas, distributing pertinent documents, and maintaining meeting minutes.

~~(U//FOUQ)~~ During this reporting period, the IC IG Forum promoted collaboration and coordination among the IC IGs by exchanging ideas and work plans, sharing best practices, and identifying collaborative projects affecting two or more IC OIGs. As part of the IC IG Forum activities, the Deputy Inspectors General Working Group and Assistant Inspectors General (AIG) Working Groups for Audit, Inspections, and Investigations each met to exchange ideas on a wide variety of topics:

- ~~(U//FOUO)~~ The Deputy Inspectors General developed the program for the 17<sup>th</sup> Annual IC IG Conference, which will be held on 10 May 2011 at the Defense Intelligence Agency. The Deputies also discussed the impact of the FY 2010 IAA on their respective offices, planned for the IC IG Awards ceremony, and assessed the progress of IC OIG peer reviews.
- (U) The AIG for Inspections Working Group established a Project Agreement that specified four common objectives for the joint IC Review of Continuity of Operations and Intelligence Readiness. The Working Group also developed a preliminary checklist, criteria, and measures of effectiveness for peer reviews that are based on the Council of the Inspectors General on Integrity and Efficiency (CIGIE) Quality Standards for Inspections. The AIG for Inspections at the National Geospatial-Intelligence Agency (NGA) volunteered for the first test run of the peer review criteria, and the AIG for Inspections at the National Reconnaissance Office (NRO) volunteered to serve as team lead for the review.
- (U) The AIG for Investigations Working Group collaborated on proposed legislation affecting investigations and exchanged best practices regarding proactive efforts to detect waste, fraud, and mismanagement. It also shared the names of working targets to ensure all IC agencies are aware of any fraudulent schemes or efforts. The Working Group also encouraged and participated in the IC IG Joint Duty Rotational Assignment Program, collaborated on several joint investigations, discussed peer review models, and facilitated joint training within the IC. The Working Group is currently reviewing compliance instructions regarding current legislation. The

Working Group continues to review Investigation Manuals to ensure best practices are known and used throughout the IC OIG investigation divisions.

- (U//FOUO) The Joint Audit Working Group (JAWG) held meetings to coordinate work plans and discuss with senior officials issues such as financial auditability, business transformation, Federal Information Security Management Act (FISMA) work, forensic auditing, and cybersecurity. The JAWG obtained consensus for the ODNI OIG to issue a capstone report to address IC-wide FISMA issues identified by the IC OIGs (described in the Completed Projects section of this report). In addition, because of increasing concerns regarding cybersecurity, the JAWG initiated a separate working group to focus on cybersecurity and expedite the sharing of information and audit approaches.

(U//FOUO) In addition, the OIG Audit Division served as the co-chair of the Chief Financial Officer (CFO)/IG Council. The Council is comprised of the Central Intelligence Agency (CIA), Defense Intelligence Agency (DIA), NGA, NRO, National Security Agency (NSA), and ODNI CFO and IG representatives. The Council is responsible for: 1) monitoring the IC implementation of the *Financial Statement Auditability Plan*; 2) providing assistance to the components on the resolution of IC-wide challenges; and 3) developing and executing an IC audit validation strategy. As the co-chair of the CFO/IG Council, the ODNI OIG led key meetings and obtained speakers that facilitated resolution of long-standing issues regarding the application of accounting principles and the OIGs' roles and capabilities in support of financial statement audits.

(U//FOUO) In response to a congressional inquiry on IC employees' outside employment activities, the DNI asked the IG to conduct an IC-wide review of the policies governing outside employment activities and any employee misconduct investigations stemming from outside employment. The IG turned to the IC IG Forum members for their assistance in completing this review and provided a briefing to the House Permanent Select Committee on Intelligence (HPSCI) on the matter. The IG reported that based on information provided to the OIG in response to a questionnaire sent to IC OIG investigators, misconduct or abuse involving outside employment activities did not appear to be a systemic problem. The IG also noted that IC elements' policies adequately notify IC employees of their obligations and the unique considerations for obtaining approval for outside employment activities. However, the IG also found that IC elements would benefit from a periodic reporting requirement for IC employees approved for outside employment activities on a case-by-case basis and from maintaining records to track the number of requests and the disposition of these requests.

(U//FOUO) In addition to the quarterly IC IG Forum meetings, the ODNI OIG hosted a meeting of CIGIE leadership with the IC IGs of recently named Designated Federal Entities (DFEs). As mandated by the FY 2010 IAA, the DIA, NGA, NRO, and NSA were included as DFEs under the Inspector General Act of 1978. Thus, the IGs for these four entities now have statutory authorities under the auspices of the Department of Defense (DOD) IG. Previously, these IGs reported through the DOD IG as administrative IGs.

(U//FOUO) The meeting provided a forum for CIGIE to acquaint the new DFE IGs with the mission, activities, and expectations of CIGIE membership. The IC IGs used this opportunity to promote an understanding of their respective missions and review potential overlaps across agencies. CIGIE members also discussed leveraging their efforts and the next steps for the new CIGIE members, including participation in meetings, committees, working groups. The attendees also discussed training opportunities, Joint Duty Exchange programs, award programs, peer reviews and best practices for conducting audits, inspections and investigations, including how to avoid duplication of effort.

### **(U) Intelligence Oversight Activities**

(U) Executive Order 13462 elevated accountability for intelligence oversight reporting to the heads of departments and agencies and incorporated a more timely method for reporting significant and highly sensitive intelligence oversight matters from IC components to the President's Intelligence Oversight Board (IOB). Under the Executive Order, the DNI analyzes IC component intelligence oversight reporting submitted to the IOB. This responsibility is carried out jointly by the ODNI OIG and the ODNI Office of the General Counsel (OGC). In coordination with the ODNI OIG and OGC, the IOB issued its *Intelligence Oversight Board's Concept of Operations*, dated 8 September 2010, which set forth the President's expectation for intelligence oversight and the IOB's concept of operations for meeting those expectations. Once issued, the IOB staff and ODNI oversight personnel began scheduling meetings with oversight personnel from each IC element to discuss the updated reporting requirements and to provide feedback to the IC elements regarding past reporting.

(U) The OIG also participates as a member of the joint ODNI and Department of Justice oversight team that conducts periodic reviews to assess IC compliance with procedures and guidelines issued pursuant to Section 702 of the Foreign Intelligence Surveillance Act (FISA), 50 U.S.C. §1801 *et seq.*, as amended by the FISA Amendments Act of 2008, 50 U.S.C. §1881a. The results of these compliance reviews are summarized by the DNI and the Attorney General in joint semiannual reports submitted to the Senate Select Committee on Intelligence (SSCI), HPSCI, Senate Judiciary Committee, House Judiciary Committee, and the Foreign Intelligence Surveillance Court.

### **III. (U) Management Challenges**

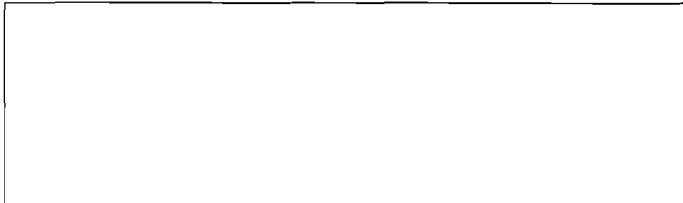
(U) The Reports Consolidation Act of 2000 requires federal agency IGs to identify and report the serious management and performance challenges facing their agencies. In 2009, the OIG issued an IC Management Challenges report, which included challenges identified from its own reporting and synthesized challenges identified in reports published by other IC OIGs. In contrast, the OIG's 2010 report identified challenges exclusive to the ODNI.

(U) To identify the challenges for our 2010 report, the ODNI OIG drew upon inspections, audits, investigations, and reviews performed in the past 2 years, challenges discussed in the IC IG Forum, issues identified by ODNI senior officials, and materials provided to Congress by elements of the ODNI.

(U) The challenges were not presented in priority order because all are critical for the ODNI. It also was not a cumulative list of all ODNI management challenges; rather, it provided a snapshot seen through the lens of recent OIG reports, OIG findings, and ODNI activities and focus areas.

~~(C)~~ As reflected in the OIG's 2010 report, we identified the following as the  most serious management challenges facing the ODNI:

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(U) In his response, the DNI agreed that challenges identified by the OIG do not lend themselves to one-time solutions, but require sustained focus, effort, and leadership over time. The DNI also described additional ongoing or planned efforts that address each of these challenges. He noted that the ODNI is implementing a number of changes in each challenge area in direct response to the OIG's recommendations. He also stated that the ODNI's performance in each of these areas will continue to improve as management implements more of the OIG's recommendations.

#### **IV. (U) Completed Projects**

##### **(U) Audits**

##### **(U) Increasing the Value of the IC's Federal Information Security Management Act (FISMA) Reports**

~~(U//FOUO)~~ The OIG initiated this project as a follow-up to the annual FISMA report and addressed issues identified during the FY 2009 evaluation that were beyond the scope of the annual FISMA reporting requirements and that cut across multiple elements of the IC. Specifically, the issues addressed in this audit included the effect of the delayed receipt of the Office of Management and Budget's (OMB) annual FISMA requirements, the inability of the IC to use OMB's new unclassified database for FISMA reporting, and the lack of a standard definition of an "information system."

~~(U//FOUO)~~ The evaluation examined the impact of these issues on the IC OIGs in performing annual FISMA reviews. The evaluation contained these findings:

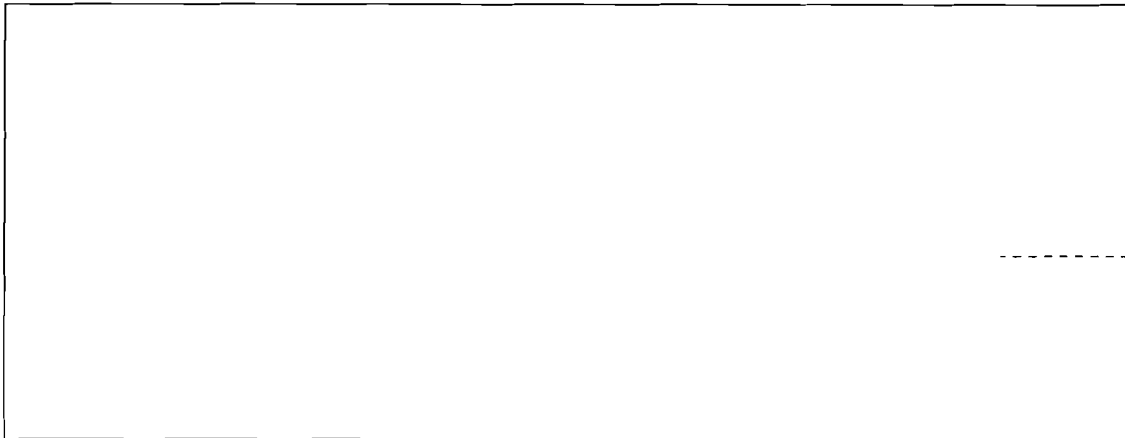
1. OMB does not provide FISMA instructions to IC agencies in a timely manner, which hinders agencies' ability to conduct a thorough review of information security or provide OMB and Congress with a meaningful report on systems security.

2. IC agencies are unable to use the unclassified automated system mandated by OMB for reporting FY 2010 FISMA results.
3. FISMA reporting among IC agencies is inconsistent due to the absence of a clear definition for an "information system."

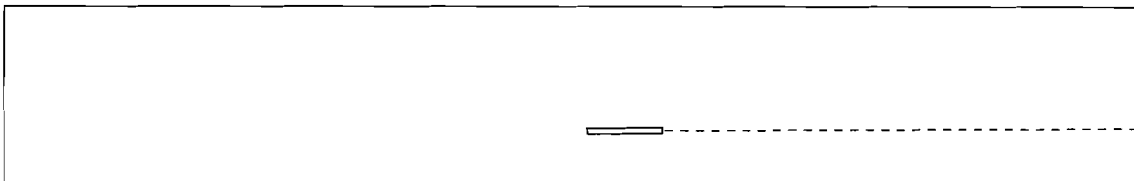
~~(U//FOUO)~~ The report made three recommendations to the IC Chief Information Officer (CIO) to increase the value of the IC agencies' annual FISMA reports submitted to OMB and Congress, as well as to improve accountability over information system security: 1) establish definitive metrics and guidance for IC CIOs to perform annual FISMA reviews; 2) establish a detailed plan of action and milestones for developing a classified version of OMB's unclassified tool for agencies annual FISMA reporting; and 3) provide guidance to IC agencies on the definition of a reportable entity for FISMA purpose. The IC CIO concurred with the recommendations, and all recommendations have been fully implemented.

**(U) FY 2010 Independent Evaluation of ODNI Compliance with FISMA**

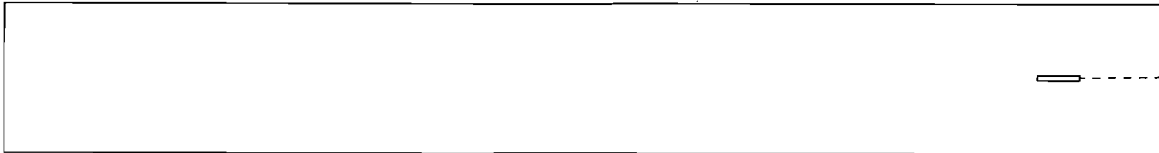
~~(U//FOUO)~~ FISMA requires that an annual independent evaluation be performed by an agency OIG or by a third party to assess the security measures for information systems that support operations. To conduct this evaluation, the OIG applied OMB's FY 2010 metrics for 11 categories of information security. Within ODNI, two groups are responsible for information systems: 1) the Mission Support Division (MSD), which is responsible for internal ODNI systems, and 2) the Intelink Enterprise Collaboration Center (IECC), which is responsible for IC systems. The OIG also followed up on progress made by MSD and IECC to address recommendations made in the FY 2008 and FY 2009 FISMA reports.



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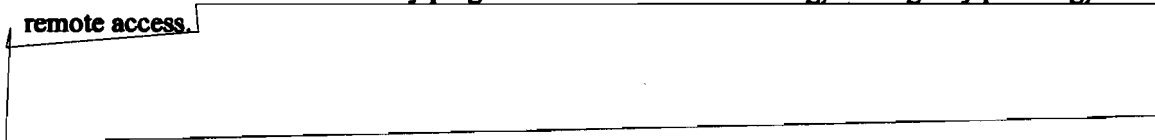
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~~(U//FOUQ)~~ In order to eliminate potential weaknesses in ODNI information security, the open FISMA recommendations from FY 2008, FY 2009, and FY 2010 need to be implemented promptly.

**(U) FY 2010 Consolidated FISMA Capstone Report for the Intelligence Agencies' Inspectors General**

~~(U//FOUQ)~~ For the first time, the OIG completed an evaluation of FISMA reporting by all IC OIGs. The objective of this evaluation was to collect and summarize the FY 2010 FISMA report submissions from 11 OIGs for IC agencies that operate or exercise control of national security systems. The evaluation summarized the information security program strengths and weaknesses across the intelligence agencies, identified the causes of the weaknesses in these programs if noted by the respective OIGs, and provided a brief summary of the recommendations made for intelligence agencies' information security programs based on our review of all of the OIG reports. To perform the evaluation, the ODNI OIG applied OMB's FY 2010 FISMA metrics for 11 categories of information security.

~~(U//FOUQ)~~ Based on the results of the 11 OIG reports, we found that incident response and reporting ranked the strongest among the information security programs, with 8 OIGs noting that an incident response and reporting program had been established that met OMB requirements. Our evaluation also identified three areas where more than one agency reported the absence of established security programs: continuous monitoring, contingency planning, and remote access.



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~~(U//FOUQ)~~ Six intelligence agency OIGs made a total of 164 recommendations to address individual agency findings. Four of the agency OIGs reported that 42 recommendations were repeated from prior years. Unless these security concerns are addressed, these weaknesses could leave agency national security systems vulnerable to attack.

**(U) Investigations**

~~(U//FOUQ)~~ During this reporting period, the OIG conducted 23 investigations on a variety of allegations, including time and attendance fraud, contracting irregularities, ethics violations, misuse of government property, voucher fraud, abuse of position, and improper use of appropriated funds.

**(U) Alleged Contracting and Administrative Violations by an ODNI Official**

(U) The OIG investigated allegations that an ODNI senior official committed numerous contracting and administrative violations. This matter was briefed to ODNI executive management and resulted in significant improvements in the component's contracting and administrative practices.

**(U) Alleged Voucher Fraud by a Senior IC Official**

(U) The OIG investigated allegations that a senior IC official in another agency committed travel voucher fraud. The investigation determined that no fraud was committed. The home agency of the official was advised of the OIG's findings.

**(U) Alleged Procurement and Time and Attendance (T&A) Fraud**

(U) The OIG substantiated allegations that two contractors had charged the government for hours they had not worked. The two contractors were subsequently terminated by their employer, and the employer reimbursed the government for the estimated amount of loss, \$101,550.14.

**(U) Use of Subpoena Authority**

(U//FOUO) During this reporting period, the OIG did not exercise subpoena authority under section 7(a)(4) of ODNI Instruction 2005-10.

**V. (U) Ongoing Projects and Activities**

**(U) Inspections and Reviews**

**(U) Review of the Status of Integration of the IC's Departmental and Service Elements**

(U) The OIG is conducting a review to identify the unique roles, capabilities, expertise, and functions of the Departmental and Service elements; identify any barriers to their integration; and determine how these elements can be more effectively leveraged and integrated in the IC.

**(U) Evaluation of the Administration and Management of ODNI Core Contractors Supporting Critical Missions**

(U) The OIG is conducting an evaluation of ODNI administration and management of core contractors supporting critical missions. The objectives of the evaluation are to assess the risks associated with the administration and management of core contracts; review ODNI initiatives to improve the administration and management of contracts; consolidate and synthesize similar agency-level evaluations of contractor resource strategies; and identify



systemic or recurring problems, trends, and best practices, including risk mitigation measures. In support of this evaluation, we asked the [redacted] for access to 16 contract files associated with advisory and support contracts funded by the ODNI. We also sought interviews with the [redacted] contracting officers (COs) responsible for administration of these contracts. Although [redacted] provided us with some relevant contract materials, we were unable, despite repeated requests, to obtain direct access to the contract files and the COs. This restriction affected the comprehensiveness of our review.

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**(U) Evaluation of the President's Daily Briefing (PDB): Sources, Resources, Processes, and Outcomes**

~~(U//FOUO)~~ The DNI relies on the PDB to provide the President and his senior advisors with a baseline of intelligence to inform their perspective on world events, potential threats, and the capabilities and intentions of adversaries. The OIG is evaluating the sources, resources, and processes used to develop the PDB and is assessing the incentives and disincentives for agency participation in producing the PDB. In light of significant changes to the PDB enterprise following appointment of Director Clapper in August 2010, the OIG decided to suspend completion of its evaluation until it can meaningfully evaluate the impact and efficacy of those changes.

**(U) Audits**

**(U) Audit of the DNI's Monitoring and Coordination of the Comprehensive National Cybersecurity Initiative**

~~(U//FOUO)~~ The OIG initiated this audit to provide an assessment of the DNI's execution of his responsibilities under National Security Presidential Directive 54/Homeland Security Presidential Directive 23, commonly known as the Comprehensive National Cybersecurity Initiative (CNCI), to monitor and coordinate CNCI implementation. The objective is to evaluate whether the policies and procedures, roles and responsibilities, and governance structures have been established to effectively monitor and coordinate CNCI implementation. The audit will examine the adequacy of the coordination between the ODNI and other federal agencies responsible for implementation of the CNCI initiative and determine whether there are gaps or additional measures that should be addressed from a CNCI or ODNI perspective. To accomplish this audit, we are coordinating with agencies in the IC, other agencies responsible for CNCI initiatives and enablers, and senior administration cyber officials.

**(U) Audit of the Use of Reciprocity by the ODNI for Personnel Security Clearance and Hiring Purposes**

(U) The ODNI initiated this audit to examine security clearance reciprocity with respect to both eligibility and suitability. The OIG believes the findings of this audit will facilitate and increase the efficiency and effectiveness of security clearance and access determination reciprocity among IC agencies.

(U) This audit also will respond in part to the FY 2010 IAA requirement for the IC IG to audit security clearance reciprocity throughout the IC. Due to resource constraints, the ODNI OIG will focus solely on reciprocity and suitability issues from the standpoint of the ODNI in connection with the three security clearance reciprocity scenarios specified in the IAA requirement: 1) an employee of an element of the IC detailed to another element of the IC; 2) an employee of an element of the IC seeking permanent employment with another element of the IC; and 3) a contractor seeking permanent employment with an element of the IC.

**(U) Investigations**

~~(U//FOUO)~~ The OIG continues to receive allegations of wrongdoing from within the ODNI and the IC, including allegations of ethical violations, contract fraud, abuse of position, and T&A fraud. Select cases representing the breadth of ongoing investigations are highlighted below:

**(U) Alleged Unauthorized Possession of Government Credentials**

(U) The OIG is investigating why certain ODNI employees were issued credentials from government entities other than the ODNI. The ODNI is in the process of recovering all known credentials issued to ODNI personnel from other government entities.

~~(U//FOUO)~~ **Alleged Ethics Violation by Senior Official**

~~(U//FOUO)~~ The OIG is investigating an allegation that a senior ODNI official violated administrative and criminal ethics rules by personally and substantially participating in the ODNI acquisition process relating to the potential acquisition of services from a former employer. The OIG investigation will examine whether the ODNI official complied with a 2-year ethics recusal period and whether the official participated in pre-negotiation meetings while holding a financial interest in the prospective vendor.

**(U) Alleged Violation of the Procurement Integrity Act**

(U) The OIG is investigating an allegation that an ODNI employee provided procurement-sensitive information to a contractor whose company was bidding on a large ODNI contract.

**(U) Alleged Ethics Violation by a Senior Official**

(U) The OIG is investigating an allegation that an ODNI official violated federal ethics rules by failing to report his ownership of stock in two public financial disclosure reports and participating in official business involving another company while he owned stock in that company.

**(U) Alleged Misuse of Position by ODNI Manager**

(U) The OIG is investigating allegations that senior officials in an ODNI component retaliated against an ODNI employee because the employee had filed a complaint about the component's management practices. The complainant claimed that after making his written complaint, he was given a low performance rating, threatened with a suitability investigation, and given a letter of warning on baseless charges.

**(U) T&A Fraud by an ODNI Employee**

(U) The OIG is investigating allegations that an ODNI employee submitted false T&A records for several months. The employee's managers allege that the employee repeatedly failed to show up for work but submitted T&A records indicating the employee was at work.

**(U) OIG Complaint Intake System**

~~(U//FOUO)~~ The ODNI OIG receives allegations of misconduct from IC employees and the general public on a variety of violations. During this reporting period, the OIG received 17 complaints, which included allegations of government credit card abuse, child pornography, ethics violations, contract fraud, and a misuse of position. The ODNI OIG has investigated or referred each of these cases to the appropriate IC investigative element.

**VI. (U) Congressional Engagements**

(U) During this reporting period, the OIG engaged with members of Congress and congressional staff on a variety of issues.

~~(U//FOUO)~~ On 12 August 2010, the ODNI OIG briefed a staff member on the U.S. House of Representatives Appropriations Committee, Subcommittee on Defense (HAC-D) on the findings and recommendations regarding an administrative investigation of a senior ODNI employee and on the results of its audit report, Increasing the Value of the Intelligence Community's FISMA Reports (discussed above at pp. 7-8).

~~(U//FOUO)~~ On 15 December 2010, the Inspector General and the Assistant Inspector General for Investigations briefed the HPSCI Intelligence Community Management Subcommittee on two recent OIG reports: 1) the OIG's Review of IC Employees Outside Employment Activities (discussed above at p. 5); and 2) the 2010 ODNI Management Challenges Report (discussed above at pp. 6-7).

~~(C//NF)~~ With regard to the 2010 ODNI Management Challenges Report, the IG outlined the five challenges noted in the OIG report. The IG described progress made by the ODNI in moving toward achieving financial statement auditability, noting that ODNI management has fully implemented all recommendations from the OIG's 2010 audit of the ODNI's Audit of Internal Controls Over Office of the Director of National Intelligence Fund Balance with Treasury and the OIG's 2009 Joint Audit of National Intelligence Program Funds at the

Department of Homeland Security Office of Intelligence and Analysis. The IG also noted that judgments made by the Congress in 2004 and 2005 led ODNI management to avoid creating duplicative systems for ODNI information technology, information security, contractor oversight, and other support services, but instead to rely on other IC elements  for infrastructure and processes.

(b)(3)

## **VII. (U) Status of OIG Recommendations for Completed Reports**

(U) The implementation status of OIG recommendations made in reports completed since 2007 is noted in Appendix A, listed by report in reverse chronological order. Recommendations are “closed” if they have been fully implemented (designated in green) and “open” if they have not been fully implemented (no color designation). At the end of this reporting period, 69 percent of the recommendations in the reports listed below are closed, and 31 percent remain open.

~~(U//FOUO)~~ During this reporting period, the ODNI has closed or made significant progress in implementing several OIG recommendations. For example, the ODNI closed one of the six open recommendations from the OIG’s 2009 report on Acquisition Oversight. The recommendation dealt with establishing a reporting mechanism to track the achieved performance of fielded major system acquisitions. Over the past 2 years, the OIG worked with ODNI personnel to identify thresholds for qualitative and quantitative reporting now included in Appendix F to the Annual Program Management Plan Report.

(U) In addition, the ODNI implemented one of two remaining open recommendations from the OIG’s 2009 report on the implementation status of the IC Civilian Joint Duty Program. The OIG recommended that the IC Chief Human Capital Officer (CHCO) discontinue the requirement for IC elements to report quarterly promotion data in order to reduce the reporting burden on IC elements. The IC CHCO changed the requirement from quarterly reports to annual reports, which sufficiently addressed the OIG’s findings.

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## **Appendix**

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Semiannual Report 1 July 2010 – 31 December 2010

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

**This Table is ~~SECRET//NOFORN~~**

Recommendation	Due Date Recipient	Actions to Date	Status
<del>(U//FOUO)</del> FISCAL YEAR 2010 INDEPENDENT EVALUATION OF ODNI COMPLIANCE WITH FISMA (Issued Sept 2010) (TOTAL = 32 - CLOSED: 6, OPEN = 26)			
<del>(U//FOUO)</del> Recommendation 1.1: We recommend that within 30 days of this report (15 October 2010), the D/MSO should:			
a) <del>(U//FOUO)</del> Assign responsibility for timely updating and reconciling D/MSO and IC IT Registry system inventories.	15 Oct 2010 D/MSO		<del>(U//FOUO)</del> OPEN
b) <del>(U//FOUO)</del> Reconcile MSC internal inventories with the IC IT Registry and make system additions, deletions, or adjustments to the IC IT Registry at a minimum on a quarterly basis.  <del>(U//FOUO)</del> Repeats 2009 Recommendations 1.1 and 1.2, due to be completed in January 2010.	15 Oct 2010 D/MSO		<del>(U//FOUO)</del> OPEN
<del>(U//FOUO)</del> Recommendation 1.2: We recommend that within 30 days of this report (15 October 2010), the IC CIO should:			

(b)(5)

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
<p><del>(U//FOUO)</del> Assign responsibility for timely updating and reconciling IECC and IC IT Registry system inventories.</p> <p><del>(U//FOUO)</del> Repeats 2009 Recommendations 1.1 and 1.2, due to be completed in January 2010.</p>	<p>15 Oct 2010 IC CIO</p>	<div style="border: 1px solid black; width: 100%; height: 100%;"></div>	<p><del>(U//FOUO)</del> CLOSED</p>
<p>b) <del>(U//FOUO)</del> Reconcile IECC internal inventories with the IC IT Registry and make system additions, deletions, or adjustments to the IC IT Registry at a minimum on a quarterly basis.</p> <p><del>(U//FOUO)</del> Repeats 2009 Recommendations 1.1 and 1.2, due to be completed in January 2010.</p>	<p>15 Oct 2010 IC CIO</p>		<p><del>(U//FOUO)</del> OPEN</p>
<p><del>(U//FOUO)</del> Recommendation 2.1: We recommend that within 30 days of this report (15 October 2010), the D/MSO should develop a schedule to test each information system with a PL 2 or higher within the next 12 months.</p>	<p>15 Oct 2010 D/MSO</p>		<p><del>(U//FOUO)</del> CLOSED</p>
<p><del>(U//FOUO)</del> Recommendation 2.2: We recommend that within 30 days of this report (15 October 2010), the IC CIO should develop a schedule to test each information system with a PL 2 or higher within the next 12 months.</p>	<p>15 Oct 2010 IC CIO</p>		<p><del>(U//FOUO)</del> CLOSED</p>

(b)(5)

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
<del>(U//FOUO)</del> Recommendation 2.3: We recommend that within 180 days of this report (14 March 2011), the D/MS C should formalize and document the process as well as perform security tests on the systems that currently have security tests that are greater than 1-year old.	14 March 2011 D/MS C		<del>(U//FOUO)</del> OPEN
<del>(U//FOUO)</del> Recommendation 2.4: We recommen			
a) <del>(U//FOUO)</del> Perform security tests on systems that currently have security tests that are greater than 1-year old.	14 March 2011 IC CIO		<del>(U//FOUO)</del> OPEN
b) <del>(U//FOUO)</del> Perform annual security tests on systems with a PL greater than 1 within 12 months of their accreditation date or the date of last testing.	14 March 2011 IC CIO		<del>(U//FOUO)</del> OPEN
<del>(U//FOUO)</del> Recommendation 3.1: We recommend that within 60 days of this report, the D/MS C should develop a certification and accreditation strategy including a schedule for accrediting its systems (systems should be certified and accredited within 12 months and the IC IT Registry updated accordingly.)	14 Nov 2010 D/MS C		<del>(C)</del> CLOSED
<del>(U//FOUO)</del> Repeats 2009 Recommendation 2.0, due to be completed in January 2010.			

(b)(5)



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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
<p><del>(U//FOUO)</del>                      Recommendation 3.2: We recommend that within 60 days of this report (14 November 2010), the D/MS&amp;C, leveraging ICIA, should ensure that the two systems currently operating without C&amp;As receive their C&amp;As.</p> <p><del>(U//FOUO)</del> Repeats 2009 Recommendation 2.0, due to be completed in January 2010.</p>	<p>14 Nov 2010                      D/MS&amp;C; ICIA</p>		<p><del>(U//FOUO)</del>                      CLOSED</p>
<p><del>(U//FOUO)</del>                      Recommendation 3.3: We recommend that within 60 days of this report, the IC CIO should develop a certification and accreditation strategy including a schedule for accrediting its systems (systems should be certified and accredited within 12 months and the IC IT Registry updated accordingly.)</p> <p><del>(U//FOUO)</del> Repeats 2009 Recommendation 2.0, due to be completed in January 2010.</p>	<p>14 Nov 2010                      IC CIO</p>		<p><del>(U//FOUO)</del>                      OPEN</p>
<p><del>(U//FOUO)</del> Recommendation 4.1: We recommend that within 120 days of this report (13 January 2011), the D/MS&amp;C should:</p>			

(b)(5)

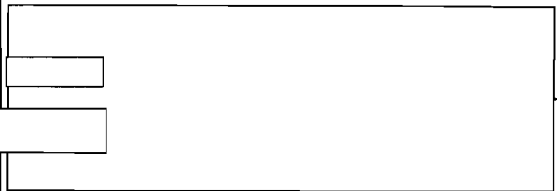
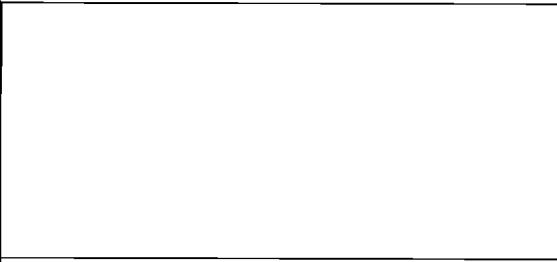
Semiannual Report 1 July 2010 – 31 December 2010

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
a) <del>(U//FOUO)</del> Revise the security configuration management oversight program for its systems that includes OMB's FY 2010 FISMA requirements.	13 January 2011 D/MS	   <del>(U//FOUO)</del> Recommendation can be closed upon receipt and validation that the changes to the SLA have been made and finalized	<del>(U//FOUO)</del> OPEN (b)(5)
b) <del>(U//FOUO)</del> Revise its Service agreement with ISG to clarify ISG and MSC responsibilities for security.	13 January 2011 D/MS	   <del>(U//FOUO)</del> Recommendation can be closed upon receipt and validation that the changes to the SA have been made and finalized.	<del>(U//FOUO)</del> OPEN (b)(5)
c) <del>(U//FOUO)</del> Establish responsibility for those CM functions that MSC will not include in the Service Agreement with ISG.	13 January 2011 D/MS	   <del>(U//FOUO)</del> Recommendation can be closed upon receipt and verification that the changes to the SA have been made and finalized	<del>(U//FOUO)</del> OPEN (b)(5)
d) <del>(U//FOUO)</del> Ensure the proper implementation of FDCC standards according to the milestones established for intelligence agencies and document deviations from those standards when appropriate.	13 January 2011 D/MS		<del>(U//FOUO)</del> OPEN

*Semiannual Report 1 July 2010 – 31 December 2010*

**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
		<del>(U//FOUO)</del> Recommendation can be closed upon receipt and verification that the changes to the SA have been made and finalized.	
<del>(U//FOUO)</del> Recommendation 4.2: We recommend that within 180 days of this report (14 March 2011), the IC CIO should:			
<del>(U//FOUO)</del> a) Establish a security configuration management program for its systems that meets OMB's FY 2010 FISMA requirements.	14 Mar 2011 IC CIO	2009).	<del>(U//FOUO)</del> OPEN
		<del>(U//FOUO)</del> Recommendation can be closed upon revision/update of v.1 of the plan to ensure that it meets OMB's FY 2010 FISMA requirements, and upon receipt and review by OIG of documentation that the plan has been implemented and a security configuration management program established.	
<del>(U//FOUO)</del> b) Ensure the proper implementation of FDCC standards according to the milestones established for intelligence agencies and document deviations from those standards when appropriate.	14 Mar 2011 IC CIO		<del>(U//FOUO)</del> OPEN
		<del>(U//FOUO)</del> Recommendation can be closed upon proof of FDCC implementation and/or documented deviations.	
<del>(U//FOUO)</del> Recommendation 5.1: We recommend that within 180 days of this report (14 March 2011), the D/MSO should:			
<del>(U//FOUO)</del> a) Revise and update the incident response and reporting program to include OMB's expectations for comprehensive analysis, validation, documentation, and resolution of incidents in a timely manner and timely reporting of incident data to appropriate authorities.	14 Mar 2011 D/MSO		<del>(U//FOUO)</del> OPEN
		<del>(U//FOUO)</del> Recommendation can be closed upon receipt and review of the program documentation.	

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
<p>b) <del>(U//FOUO)</del> Amend the Service Agreement with ISG to explicitly include requirements delineating specific roles and responsibilities that ISG will perform in assisting with the incident response and reporting functions; alternatively, MSC should institute measures that address incident response and reporting functions required by OMB.</p>	<p>14 Mar 2011 D/MS</p>	<p><del>(U//FOUO)</del> Recommendation can be closed upon receipt and validation that the changes to the SA have been made and finalized</p>	<p><del>(U//FOUO)</del> OPEN</p>
<p><del>(U//FOUO)</del> Recommendation 5.2: We recommend that within 180 days of this report (14 March 2011), the IC CIO should:</p>			
<p>a) <del>(U//FOUO)</del> Finalize its draft Intelink Incident Response Plan and ensure that it meets or exceeds all requirements established by OMB and FISMA.</p>	<p>14 Mar 2011 IC CIO</p>	<p><del>(U//FOUO)</del> Recommendation can be closed upon revision/update of v.1.6 of the plan to ensure that it meets OMB's 2010 FISMA requirements.</p>	<p><del>(U//FOUO)</del> OPEN</p>
<p>b) <del>(U//FOUO)</del> Establish an incident response and reporting program that meets OMB's expectations for comprehensive analysis, validation, documentation, and resolution of incidents in a timely manner timely reporting of incident data to appropriate authorities.</p>	<p>14 Mar 2011 IC CIO</p>	<p><del>(U//FOUO)</del> Recommendation can be closed upon revision/update of v.1.6 of the plan to ensure that it describes a program that meets OMB's 2010 FISMA requirements, and upon receipt and review by OIG of documentation that the plan has been implemented and an incident response and reporting program established that meets OMB's FY 2010 FISMA requirements.</p>	<p><del>(U//FOUO)</del> OPEN</p>
<p><del>(U//FOUO)</del> Recommendation 6.1: We recommend that within 60 days of this report, the D/MS should revise the current POA&amp;M process to incorporate OMB's FY 2010 FISMA metrics into MSC's written POA&amp;M program.</p>	<p>14 Nov 2010 D/MS</p>		<p><del>(U//FOUO)</del> CLOSED</p>

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
			(b)(5)
<del>(U//FOUO)</del> Recommendation 6.2: We recommend that within 60 days of this report, the IC CIO should develop a written POA&M program for the IECC.  <i>Repeats 2009 Recommendation 5 a, b, c. due to be completed in November 2009.</i>	14 Nov 2010 IC CIO		<del>(U//FOUO)</del> OPEN  (b)(5)
<del>(U//FOUO)</del> Recommendation 7.1: We recommend that within 180 days of this report, the D/MSO should establish and document a continuous monitoring program incorporating all of OMB's requirements.	14 Mar 2011 D/MSO		<del>(U//FOUO)</del> OPEN  (b)(3)
<del>(U//FOUO)</del> Recommendation 7.2: Within 90 days of this report, the IC CIO should establish and document a continuous	14 Mar 2011 IC CIO		<del>(U//FOUO)</del> OPEN  (b)(5)

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
monitoring program incorporating all of the OMB requirements.		<del>(U//FOUO)</del> [redacted]	
<del>(U//FOUO)</del> Recommendation 8.1: We recommend that within 180 days of this report (14 March 2011), the D/MSD should:			
a) <del>(U//FOUO)</del> Complete a contingency plan program including, at a minimum, the areas outlined in the OMB FY 2010 FISMA metrics.	14 Mar 2011 D/MSD	[redacted]	<del>(U//FOUO)</del> OPEN
		<del>(U//FOUO)</del> Recommendation can be closed upon receipt and verification that the plans meets OMB requirements.	
b) <del>(U//FOUO)</del> Complete contingency plans for all systems with availability level of concern ratings of medium or greater.	14 Mar 2011 D/MSD	[redacted]	<del>(U//FOUO)</del> OPEN
		<del>(U//FOUO)</del> Recommendation can be closed upon OIG receipt and verification that the plans meet OMB requirements .	
<del>(U//FOUO)</del> Recommendation 8.2: We recommend that within 180 days of this report (14 March 2011), the D/MSD should:			
a) <del>(U//FOUO)</del> Establish a contingency plan program including, at a minimum, the areas outlined in the OMB FY 2010 FISMA metrics.	14 Mar 2011 IC CIO	<del>(U//FOUO)</del> 18 Nov 2010: Not reviewed because deadline has not yet passed.	<del>(U//FOUO)</del> OPEN
b) <del>(U//FOUO)</del> Establish a plan for performing contingency plan tests on systems whose contingency plans are greater than 1-year	14 Mar 2011 IC CIO	<del>(U//FOUO)</del> 18 Nov 2010: Not reviewed because deadline has not yet passed.	<del>(U//FOUO)</del> OPEN

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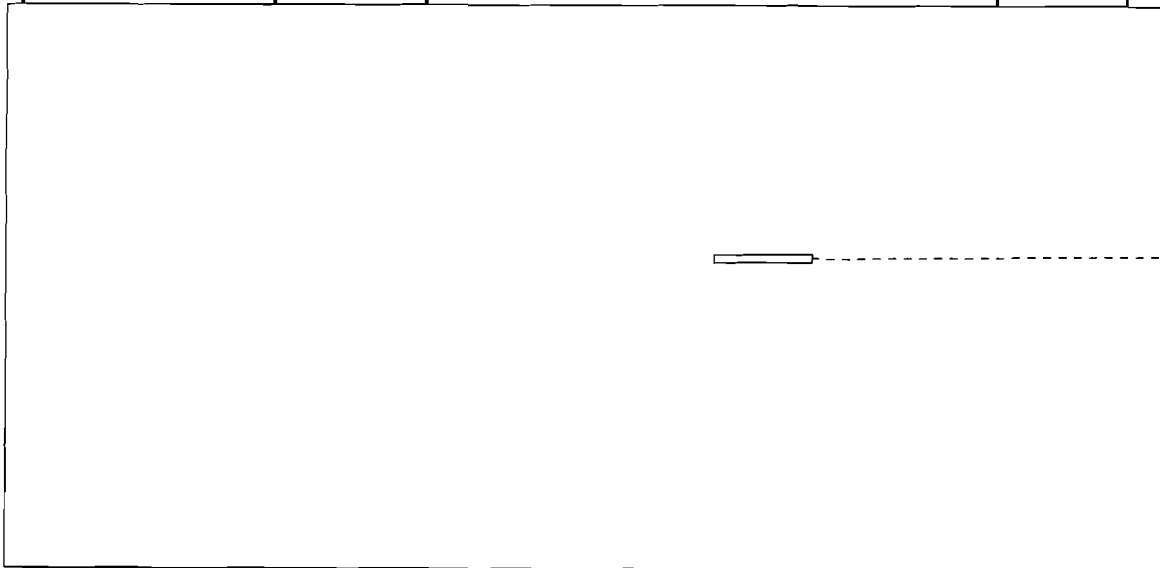
**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
old and establish a schedule for future contingency plan tests.			
c) <del>(U//FOUO)</del> Perform contingency plan tests on all systems with availability ratings of high.	14 Mar 2011 IC CIO	<del>(U//FOUO)</del> 18 Nov 2010: Not reviewed because deadline has not yet passed.	<del>(U//FOUO)</del> OPEN
d) <del>(U//FOUO)</del> Establish contingency plans for all systems with availability ratings of medium or greater.	14 Mar 2011 IC CIO	<del>(U//FOUO)</del> 18 Nov 2010: Not reviewed because deadline has not yet passed.	<del>(U//FOUO)</del> OPEN
(U) INCREASING THE VALUE OF INTELLIGENCE COMMUNITY FEDERAL INFORMATION SECURITY MANAGEMENT ACT (FISMA) REPORTS (Issued June 2010) TOTAL 4 - CLOSED: 3, OPEN: 1			
(U) Recommendation 1: Within 30 days of this report date, the IC CIO should: Establish definitive measures for the CIOs of agencies with National Security Systems under the DNI's purview to use to perform their FY 2010 FISMA reviews. Inform OMB of the IC CIO's decision to provide definitive instructions for the IC agencies to use to perform their FY 2010 FISMA reviews.	10 July 2010 IC CIO		(U) CLOSED
(U) Recommendation 2-1. Within 30 days of this report date, the IC CIO should inform agencies with intelligence systems under the DNI's purview about how the IC agencies will receive FY 2010 FISMA instructions and how they are to submit their FISMA reports.	10 July 2010 IC CIO		(U) CLOSED

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
(U) Recommendation 2-2. Within 120 days of this report date, the IC CIO should establish a detailed plan of action and milestones necessary for developing and implementing a classified version of Cyberscope for purposes of FY 2011 FISMA reporting.	10 July 2010 IC CIO		(U) OPEN
(U) Recommendation 3. We recommend that beginning with the FY 2010 FISMA reporting cycle, the IC CIO should identify in its annual consolidated FY 2010 FISMA report instances in which IC agencies are not complying with IC CIO guidance and the justifications for the exceptions.	10 July 2010 IC CIO		(U) CLOSED
<b>INTERNAL CONTROLS OVER FUND BALANCE WITH TREASURY (Issued Jan 2010)</b> (TOTAL = 5; CLOSED = 5)			

(b)(5)



(b)(5)



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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
(b)(5)			
1-3. <del>(U//FOUO)</del> We recommend that within 120 days of this report, the ODNI Comptroller develop a sustainability plan to ensure that core functions are identified and can be maintained to perform timely and repeatable FBWT account reconciliations and to facilitate progress toward auditable financial statements.	20 May 2010 ODNI Comptroller	<del>(U//FOUO)</del> OIG received sustainability plan on 3 June 2010 that meets the intent of the recommendation.	<del>(U//FOUO)</del> CLOSED

(b)(5)			
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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
3-1. <del>(U//FOUO)</del> We recommend that within 120 days of this report, the ODNI Director of the Mission Support Center establish a plan to comply with FMFIA certification requirements for FY 2010.	20 May 2010 Director, MSC	<del>(U//FOUO)</del> OIG received FMFIA plan on 3 June 2010 that meets the intent of the recommendation.	<del>(U//FOUO)</del> CLOSED
(U) THE INTELLIGENCE COMMUNITY CIVILIAN JOINT DUTY PROGRAM: IMPLEMENTATION STATUS REPORT (Issued Nov 2009) (TOTAL = 20 - CLOSED: 19 - OPEN: 1)			
1. (U) We recommend that the Director of National Intelligence (DNI) issue a written statement to the Intelligence Community (IC) pronouncing strong support for the goals of the Joint Duty Program, its continued implementation, and requirements as identified in ICPG 601.01.	DNI	(U) DNI signed memo dated 29 Jan 2010.	(U) CLOSED
2. (U) We recommend that the DNI direct IC element heads to strongly promote the IC Joint Duty Program in their organizations.	DNI	(U) DNI signed memo dated 29 Jan 2010.	(U) CLOSED
3. (U) We recommend that the DNI hold IC element heads accountable for Joint Duty implementation by including Joint Duty rating factors in their annual performance appraisals and Personal Performance Agreements.	DNI	(U) Data collection and reporting is now used as an accountability measure for IC element heads.	(U) CLOSED
4. (U) We recommend that the DNI direct IC elements heads to establish policies requiring that proposed Joint Duty assignments be reviewed and approved by employees' first-level supervisor and second-level manager.	DNI; DIS; CHCO	(U) DNI signed memo dated 29 Jan 2010.	(U) CLOSED
5. (U) We recommend that the ADNI/CHCO clarify the purpose of the Joint Duty	ADNI/CHCO	(U) CHCO has clarified the purpose of Joint Duty in all briefings in the IC and in other courses (e.g. ICOC)	(U) CLOSED

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
Program to include both leadership development and broader collaboration throughout the IC.			
6. (U) We recommend that the ADNI/CHCO, in coordination with the ODNI Director of Communications, establish and implement a comprehensive Joint Duty Program corporate communication strategy to include: Periodic communications to the IC, including success stories, personal experiences, or special opportunities. Templates for brochures, email messages, and articles. Periodic town hall meetings and brown bag seminars across the IC. Marketing tools developed by the Joint Duty Community of Practice (COP). IC CHCO Council meeting minutes prepared and distributed to all IC CHCO Council members and alternates.	ADNI/CHCO, coordinating with Director of Communications	(U) Communications strategy was submitted and accepted to ODNI FO 20 Jan 10. CHCO Council meeting notes distributed after meetings now SOP.	(U) CLOSED
7. (U) We recommend that the ADNI/CHCO develop and distribute to all IC elements a list of commonly understood terms, with definitions, for use in Joint Duty Program vacancy announcements.	ADNI/CHCO	(U) Terminology posted on JD webpage.	(U) CLOSED
8. (U) We recommend that the ADNI/CHCO develop and deploy a software application that automatically moves vacancy announcements posted on the unclassified Joint Duty website to the JWICS Joint Duty website.	ADNI/CHCO	(U) CHCO does a mass move weekly from the unclassified to the classified JD website. Agencies post classified vacancy announcements directly to the classified website.	(U) CLOSED

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
9. (U) We recommend that the ADNI/CHCO develop an IC-wide, automated, online application for Joint Duty vacancies that ensures routing through the applicant's Joint Duty Program manager and supervisory chain.	ADNI/CHCO	(U) Application tool prototyped and functional. Currently in pilot phase; final security plan to be completed by end of December 2010. Currently training Joint Duty POC users for IC-wide implementation by January 2011.	(U) CLOSED
10. (U) We recommend that the ADNI/CHCO use the phrase "Memorandum of Understanding" in all Joint Duty Program documents to conform to ICD 601 and ICS 601-1.	ADNI/CHCO		(U) CLOSED
11. (U) We recommend that the ADNI/CHCO finalize and distribute the Joint Duty Memorandum of Understanding (MOU) template for use by IC elements.	ADNI/CHCO		(U) CLOSED
12. (U) We recommend that the ADNI/CHCO institute a policy to ensure a smooth transition for Joint Duty participants into the gaining element, to include pre-assignment meetings with the gaining element. Participants should meet with:  Their direct supervisors to discuss the assignment and expectations, which will form the basis for the Memorandum of Understanding.  Human Resources to facilitate administrative aspects of the assignment (such as computer and telephone accounts and facilities access).	ADNI/CHCO	(U) Joint Duty Community of Practice (CoP) discussed in detail in April 2010 and agreed to basic onboarding and reintegration practices. NGA, DIA, and CIA briefed their programs at the IC CHCO meetings October- December 2010. ICS standard on onboarding and reintegration in review with Joint Duty CoP ; expect to be completed by January 2011.	(U) CLOSED

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
13. (U) We recommend that the ADNI/CHCO work with the Joint Duty Community of Practice (CoP) to develop and promulgate IC reintegration best practices and timelines.	ADNI/CHCO	(U) Input incorporated into draft ICS as outlined in Recommendation 12 above. ICS on onboarding and reintegration in review with Joint Duty CoP; expect to be completed by January 2011.	(U) CLOSED
14. (U) We recommend that the ADNI/CHCO request that IC CHCO Council members designate a senior Human Resources officer in their IC elements to be responsible for the creation and implementation of a reintegration program, to include the identification of suitable follow-on assignments.	ADNI/CHCO		(U) CLOSED
15. (U) We recommend that the ADNI/CHCO discontinue the requirement for an IC element to report quarterly promotion data no later than 60 days after the IC Personnel Data Repository (PDR) has reached full operational capability and the IC element has fully complied with standards and data submission requirements for PDR – or by 1 October 2010, whichever occurs sooner.	1 October 2010 ADNI/CHCO	(U) IC CHCO agrees to discontinue this promotion data call requirement if/when PDR report functionality is available. Promotion data calls have been transitioned from quarterly to annually to reduce the reporting burden, to the satisfaction of the CoP members. Promotion data monitoring is required by the IRTPA.  (U) Data collection has been changed to an annual reporting cycle.	(U) CLOSED
16. (U) We recommend that the ADNI/CHCO develop and implement a training module to familiarize Joint Duty Program Managers with the planned PDR.	ADNI/CHCO	(U) JD COP was briefed in January 2010.	(U) CLOSED
17. (U) We recommend that the ADNI/CHCO collect data from each IC element annually to track bonus data, comparing Joint Duty Program participants with their non-participant peers.	ADNI/CHCO	(U) CHCO is looking into adding bonus data to the annual salary information reporting from elements.  (U) This recommendation can be closed when the data is received from the planned annual data call early in 2011 and the data is made available to the OIG and the IC.	(U) OPEN

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
<p>18. (U) We recommend that the ADNI/CHCO study the feasibility of reimbursement to Joint Duty participants for mileage to assignment locations that exceeds the normal distance driven to and from their home elements. If the ADNI/CHCO determines that reimbursement for mileage, or other incentives, will enhance participation in the Joint Duty Program, the ADNI/CHCO, in conjunction with the OGC, will explore administrative and regulatory options for implementing such incentives, or, if necessary, will explore a possible legislative remedy to allow for mileage reimbursement.</p>	ADNI/CHCO	(U) OGC has determined that specific legislation is necessary. CHCO does not plan to seek such legislation, unless it becomes necessary at some time in the future to incentivize joint duty participation.	(U) CLOSED
<p>19. (U) We recommend that the ADNI/CHCO promote use of the 50 ODNI-funded Joint Duty positions available for the Departmental and Service IC elements through the Deputy Executive Committee, IC CHCO Council, and the Joint Duty COP to enable the Departmental and Service IC elements to backfill for those employees who are approved to take a Joint Duty assignment.</p>	ADNI/CHCO	(U) The OCNI CHCO briefed the IC CHCO Council in Sept and Dec 2009 and the JD COP Oct 2009.	(U) CLOSED
<p>20. (U) We recommend that the ADNI/CHCO: On a semi-annual or annual basis, use the IC CHCO Council to highlight and discuss initiatives used in implementing Joint Duty. Develop opportunities, including virtual opportunities using the Joint Duty website, to broadcast these and other innovations and initiatives.</p>	ADNI/CHCO	(U) Revision of the standard and/or policy reflect assignment transition guidelines.	(U) CLOSED

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
(U) DEPARTMENT OF HOMELAND SECURITY OFFICE OF INTELLIGENCE AND ANALYSIS AUDIT (Issued Sept 2009) TOTAL: 2 - CLOSED: 2			
1.1. (U) The ADNI/CFO, within 90 days of this report, should develop and implement standard operating procedures (SOPs) to comply with ICD 104 requirements. The ADNI/CFO should develop written instructions documenting their applicable internal routines and repetitive activities.	December 2009 ADNI/CFO	(U) CFO implemented SOP BE-1 "Monitoring the Execution of Funds" on 1 Oct 09.	(U) CLOSED
2.1. (U) The ADNI/CFO, within 30 days of this report, should establish formal guidance to ensure that when variances from target execution rates are greater than agreed upon benchmarks, the CFO examines program options and, as necessary, reprograms NIP funds prior to a Congressional recession of those funds.	October 2009 ADNI/CFO	(U) CFO implemented SOP SOP BF-1 "Review and Analysis of the Intelligence Program Budget Submissions" on 1 Oct 09.	(U) CLOSED
(U) FISCAL YEAR 2009 INDEPENDENT EVALUATION OF ODNI COMPLIANCE WITH FISMA (Issued July 2009) TOTAL: 34 - CLOSED: 20 - OPEN: 14			
1.1. a, b, c. (U//FOUO) The ADNI/CIO and the Director of MSC, within 180 days (January 2010) of this report, should:			
(U//FOUO) a) Develop and maintain an accurate inventory of systems;	ADNI/CIO	<input type="text"/> <input type="text"/> <input type="text"/>	(U//FOUO) OPEN

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date/Recipient	Actions to Date	Status
		<del>(U//FOUO)</del> Recommendation can be closed once the OIG is able to reconcile the updated inventory with the registry with minimal effort. As of 2 Dec 2010, the IC IT Registry is not yet operational, thus the OIG cannot yet reconcile.	
	D/MSD		<del>(U//FOUO)</del> OPEN
		<del>(U//FOUO)</del> Recommendation can be closed once the OIG is able to reconcile the inventory with the registry. (Inventory provided in September was based on OIG's FY 2010 reconciliation). Because the IC CIO has not yet reached IOC for the IC IT Registry, MSD cannot complete the updates and the OIG cannot yet reconcile.	
<del>b) (U//FOUO)</del> Determine the ownership of the 7 unidentified systems;	ADN/CIO	<del>(U//FOUO)</del> Recommendation b) CLOSED for MSC and IC CIO/ICES because ownership was resolved in Jan 2010.	<del>(U//FOUO)</del> CLOSED
	D/MSD	<del>(U//FOUO)</del> Recommendation b) CLOSED for MSC and IC CIO/ICES because ownership was resolved in Jan 2010.	<del>(U//FOUO)</del> CLOSED
<del>c) (U//FOUO)</del> Make system additions deletions, or adjustments to the Intelligence Community's (IC) Registry in a timely manner.	ADN/CIO		<del>(U//FOUO)</del> OPEN

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
	D/MSD		(U//FOUO) OPEN
		(U//FOUO) Recommendation can be closed once the IC IT Registry is at IOC and upon review and validation of the IC IT Registry data by the OIG. Until the IC CIO achieves IOC for the IC IT Registry, MSD cannot complete the updates and the OIG cannot yet review for compliance with the recommendation.	
1.2. (U//FOUO) The ADNI/CIO and the Director of MSD should reconcile the ADNI/CIO and MSD inventories with the IC Registry, at a minimum, on a quarterly basis.	ADNI/CIO		(U//FOUO) OPEN
		(U//FOUO) As agreed to on 18 March 2010, recommendation can be closed once the IC IT Registry is at IOC and upon review and validation of the IC IT Registry data by the OIG	
	D/MSD		(U//FOUO) OPEN
		(U//FOUO) Sep 2010: Recommendation was re-opened due to the inability to reconcile inventory during the FY 2010 FISMA review. (U//FOUO) As agreed to on 18 March 2010, recommendation can be closed once the IC IT Registry is at IOC and upon review and validation of the IC IT Registry data by the OIG. Until the IC CIO	

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date/ Recipient	Actions to Date	Status
		achieves IOC for the IC IT Registry, MSD cannot perform the reconciliations and the OIG cannot yet review for compliance with the recommendation.	
2.0. <del>(U//FOUO)</del> The ADN/CIO and the Director of MSC, within 180 days of this report (24 January 2010), ADN/CIO will develop a certification and accreditation strategy including a schedule (plan of action and milestones) for reaccrediting the cited systems and update this information in the IC Registry and the Director of the Mission Support Center will establish current certifications and accreditations for all systems identified under their ownership and update this information in the IC Registry.	ADN/CIO	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> OPEN
	D/MSD	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> CLOSED

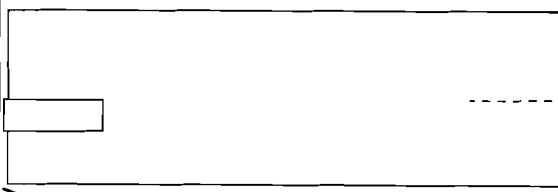
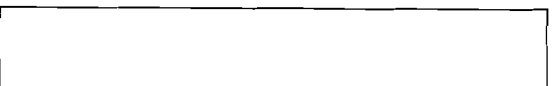


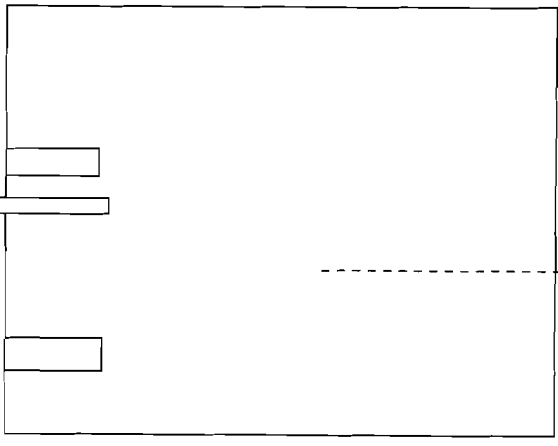


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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
3.0.a and b. ( <del>U//FOUO</del> ) The ADNI/CIO and the Director of MSC, within 180 days of this report (24 January 2010), should:			
a) ( <del>U//FOUO</del> ) Perform security tests on the systems that currently have security tests that are greater than a year old.	ADNI/CIO	[Redacted] ( <del>U//FOUO</del> ) Recommendation can be closed upon receipt of the documentation of security tests being performed for IECC systems within the last year.	( <del>U//FOUO</del> ) OPEN (b)(5)
	D/MSC	( <del>U//FOUO</del> ) The MSC systems that did not have security tests were not accredited. Therefore, they did not require testing until the C&A process was complete.	( <del>U//FOUO</del> ) CLOSED
b) ( <del>U//FOUO</del> ) Perform annual security tests on systems with a protection level greater than protection level 1.	ADNI/CIO	[Redacted] ( <del>U//FOUO</del> ) Recommendation can be closed upon receipt of the documentation security tests being performed for IECC systems with a PL1 or greater.	( <del>U//FOUO</del> ) OPEN (b)(5)
	D/MSC	( <del>U//FOUO</del> ) The MSC systems that did not have security tests were not accredited. Therefore, they did not require testing until the C&A process was complete.	( <del>U//FOUO</del> ) CLOSED
4 a,b,c. ( <del>U//FOUO</del> ) The ADNI/CIO and the Director of MSC, within 120 days of this report (24 November 2009), should:			
a) ( <del>U//FOUO</del> ) Establish a plan for performing contingency plan tests on systems whose contingency plan tests are greater than a year old and establish a designated period for future contingency plan tests;	ADNI/CIO	[Redacted] ( <del>U//FOUO</del> ) Recommendation can be closed once plan is developed and validated by OIG. The original completion date was 24 Nov 2009.	( <del>U//FOUO</del> ) OPEN (b)(5)
	D/MSC	[Redacted]	( <del>U//FOUO</del> ) CLOSED

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
b) <del>(U//FOUO)</del> Perform contingency plan tests on all systems with an availability rating of high;	ADN/CIO	 <del>(U//FOUO)</del> Recommendation can be closed upon OIG receipt and review of documentation of completion of testing.	<del>(U//FOUO)</del> OPEN (b)(5)
	D/MS C		<del>(U//FOUO)</del> CLOSED
c) <del>(U//FOUO)</del> Assign availability ratings to all ODNI systems on the IC Registry.	ADN/CIO		<del>(U//FOUO)</del> CLOSED
	D/MS C		<del>(U//FOUO)</del> CLOSED (b)(5)
5a,b,c. The ADN/CIO and the Director of MSC, within 120 days of this report (24 November 2009), should:			
a) <del>(U//FOUO)</del> Develop a uniform written plan of action and milestone process for the ODNI;	ADN/CIO		<del>(U//FOUO)</del> OPEN (b)(5)
	D/MS C		<del>(U//FOUO)</del> CLOSED
b) <del>(U//FOUO)</del> Revise their plan of action and milestone lists to include dates when items are placed on the lists,	ADN/CIO		<del>(U//FOUO)</del> CLOSED (b)(5)

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

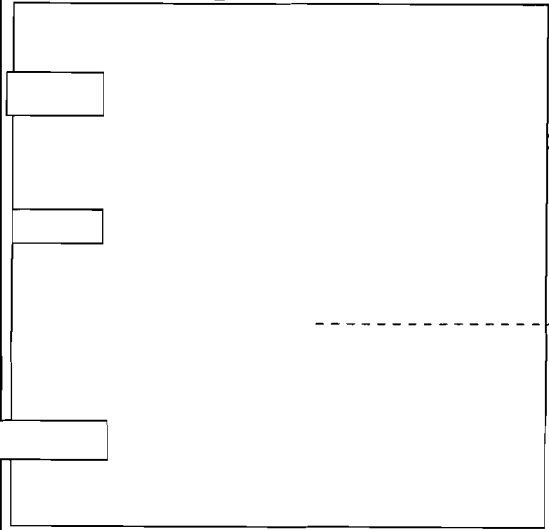
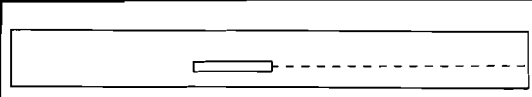
Recommendation	Due Date: Recipient	Actions to Date	Status
projected milestone dates, and actual completion dates so that progress on the actions can be monitored;	D/MS/C		<del>(U//FOUO)</del> CLOSED
c) <del>(U//FOUO)</del> Review existing plan of action and milestone lists and determine which items can be easily remedied so they can be closed.	ADN/CIO	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> CLOSED
	D/MS/C	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> CLOSED
	24 Nov 2009 ADN/CIO D/MS/C		<del>(U//FOUO)</del> CLOSED
6.1. <del>(U//FOUO)</del> The ADN/CIO and the Director of MSC, within 120 days of this report, should jointly develop an ODNI configuration management policy.			
6.2 a,b. <del>(U//FOUO)</del> The ADN/CIO, within 120 da			
a) <del>(U//FOUO)</del> Adopt and implement Federal Desktop Core Configuration standard configurations and document deviations and security control deficiencies on desktops directly controlled by ODNI;	ADN/CIO	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> OPEN
b) <del>(U//FOUO)</del> Implement Federal Desktop Core Configuration security settings into all Windows XP™ and Vista™ desktops directly controlled by the ODNI.	ADN/CIO	<input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> OPEN

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
7.0. (U//FOUO) The ADNI/CIO and the Director of MSC, within 90 days of this report, should develop an incident reporting policy.	24 Oct 2009 ADNI/CIO D/MS C		(U//FOUO) CLOSED
8.1a,b,c. (U//FOUO) The ADNI/CIO and the Director of MSC, within 60 days of this report, should: a) Designate personnel who have significant responsibilities for information security; b) Develop an ODNI pilot training program and plan strategy to provide the designated personnel with training commensurate with their roles.	24 Sept 2009 ADNI/CIO D/MS C		(U//FOUO) CLOSED
8.2. (U//FOUO) While accommodating ongoing operations and allowing time for contract modifications, ensure that contracts specify that personnel who have significant responsibilities for information security promptly receive training commensurate with their roles.	(issued: Sept 2009) ADNI/CIO		(U//FOUO) CLOSED
	(issued: Sept 2009) D/MS C		(U//FOUO) CLOSED

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date/ Recipient	Actions to Date	Status
9.0. (U//FOUO) The ADNI/CIO and the Director of MSC, within 60 days of this report, should fully implement all recommendations in the FY 2008 OIG FISMA report.	24 Sept 2009  ADNI/CIO D/MS	(U//FOUO) The OIG is closing this recommendation because implementation of the FY 2008 OIG FISMA report recommendations are being tracked independently.	(U//FOUO) CLOSED
(U) INSPECTION OF IC ACQUISITION OVERSIGHT STRATEGIES, POLICIES, AND PROCESSES (Issued June 2009) (TOTAL = 11 - CLOSED: 6, OPEN: 5)			
a. (U//FOUO) DDNI/FC immediately ensure that programs funded within the Community Management Account and executed by the ODNI are overseen in accordance with IC acquisition policy.	January 2009	(U//FOUO) DNI issued Executive Correspondence in January 2009, requiring programs funded in the Community Management Account to abide by IC Acquisition Policy and Oversight.	(U//FOUO) CLOSED
b. (U//FOUO) Publish IC policy no later than 120 days after signature, identifying a governance model for the ODNI AO workforce relative to the IC acquisition community, including:  A. (U//FOUO) Revising ICD 1 to account for current distributions of authorities and decision rights.  B. (U//FOUO) Standardizing levels of official interface and protocol between ODNI officials and IC counterparts.  C. (U//FOUO) Clarifying the role of the PDDNI relative to the codified authorities of the DNI's Milestone Decision Authority (MDA) (DDNI/FC), the DDNIs, the ADNIs, and DNI Policy for the IC.	October 2009  P&S, CMO	(U//FOUO) Awaiting P&S completion of ICD 1 revision or rescission of ICD 1 and its replacement with Executive Correspondence.	(U//FOUO) OPEN

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
c. (U//FOUO) Document the existing processes that link DDNI/FC acquisition decisions with CFO funding decisions no later than 210 days after signature. Provide the widest possible dissemination of the documented processes to ensure members of the AO staff are aware of all options available to utilize funding authorities as a management instrument.	January 2010  ADNI/SRA, DDNI/AT, ADNI/CFO	(U//FOUO) SAE, ADNI/SRA, and ADNI/CFO agreed to a revised PPBE Cycle process to align the DNI's budgetary and Milestone Decision Authorities. The OIG will continue to monitor developments to ensure linkage of MDA and fiscal authorities.	(U//FOUO) CLOSED
d. (U//FOUO) DDNI/FC enforces accountability for IC agencies to have validated requirements documents as a prerequisite for MDA delegation, and permanently add such language to DDNI/FC performance objectives no later than 360 days after signature. Additionally, when the DDNI/FC delegates MDA for a program without a validated requirements document, formal justification to the DNI shall be identified in an Acquisition Decision Memorandum.	May 2010  DDNI/AT	(U//FOUO) The DDNI/A&T's performance objectives have been amended to include "Ensure validated capability needs are documented prior to delegating Milestone Decision Authority."  (U//FOUO) DDNI/AT requested – with OIG concurrence – a DNI waiver of this Recommendation for Corrective Action for acquisition activities that are well underway and approaching delivery. OIG will evaluate progress in 2011 to make a determination of closed.  (U//FOUO) To close, OIG needs to review Statements of Capability in accordance with IC policy and ramifications during the FY11 MDA delegation process.	(U//FOUO) OPEN
e. (U//FOUO) Publish IC policy no later than 210 days after signature, prescribing processes, authorities, and responsibilities for developing formal user requirements for Programming Committee approval and validation. The 2007 ODNI Requirements Study should be the point of reference for developing the new requirements policy.	January 2010  ADNI/SRA DDNI/AT	[REDACTED]	(U//FOUO) CLOSED
f. (U//FOUO) DDNI/FC, the CIO, and the Office of General Counsel to collaborate and codify statutorily compliant areas of oversight jurisdiction no later than 120 days after	October 2009  CIO	[REDACTED]	(U//FOUO) OPEN

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
signature. We also recommend that the DNI and the CIO codify processes for oversight of IT programs under CIO jurisdiction no later than 120 days after signature, and maintain sufficient numbers of experienced IT professionals to execute the processes.		<div style="border: 1px solid black; width: 100px; height: 15px; margin: 0 auto;"></div> <del>(U//FOUO)</del> OIG will monitor the CIO re-organization to ensure the IT portfolio management function survives, and will monitor the relationship to ensure proper coordination, integration, and sharing of information between AT&F and CIO with the goal of closing this recommendation in the next reporting period.	(b)(5)
g. <del>(U//FOUO)</del> Establish and codify a repeatable process for Major Systems Acquisition (MSA) designation no later than 90 days after signature that accounts for statutory funding thresholds.	September 2009 DDNI/AT	<div style="border: 1px solid black; width: 100px; height: 15px; margin: 0 auto;"></div>	<del>(U//FOUO)</del> CLOSED
h. <del>(U//FOUO)</del> Establish a plan to provide oversight to programs before Milestone A and after Milestone C to complement current monitoring of Phase B activities no later than 120 days after signature. Additionally, the OIG recommends that the DDNI/FC coordinate with the DDNI/C, the DDNI/A, the CFO, and the CIO to codify a process no later than 120 days after signature, that includes performance data for all operational MSAs in an appendix to the annual IC Program Management Plan (PMP) report.	October 2009 ADNI/SRA DDNI/AT	<div style="border: 1px solid black; width: 100px; height: 15px; margin: 0 auto;"></div> <del>(U//FOUO)</del> OIG will monitor the development of the 2011 PMP Report and work with AT&F and SRA personnel to identify opportunities for more detailed reporting at the KPP level for each MSA, if possible.	<del>(U//FOUO)</del> CLOSED
i. <del>(U//FOUO)</del> DDNI/FC and DDNI/PPR to revise the ACCEA no later than 150 days after signature, with the following objectives: A. Align goals with stated strategy elements: Policy Guidance, Monitoring, and Corrective Action. B. Update ACCEA Immediate Actions to address corrective action as a priority.	November 2009 P&S, OGC	<del>(U//FOUO)</del> The new National Intelligence Strategy was signed 18 Aug 09 and included Enterprise Objective 7: Improve Acquisition, negating the need to revise the ACCEA. DDNI/A&T is in the process of securing IC consent on ICPG 801.3. As of 1 June 2010, still awaiting A&T's IC coordination.  <del>(U//FOUO)</del> A revised, abbreviated version of ICPG 801.3 has been submitted for coordination. To close, ICPG 801.3 needs to be issued.	<del>(U//FOUO)</del> OPEN

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date/Recipient	Actions to Date	Status
C. Elevate workforce qualification and certification goals			
j. <del>(U//FOUO)</del> Establish a process to track and address instances of IC agency noncompliance with IC acquisition policy and process discipline breakdowns no later than 120 days after signature. An option the DNI may wish to consider is to establish ODNI staff liaison positions at the IC agencies to act as the forward-deployed focal points for all actions and information requests transmitted from the ODNI staff to an agency.	October 2009 DDNI/AT	<del>(U//FOUO)</del> SAE Staff included IC agency compliance information in the 2008 Annual PMP report. The 2009 PMP report did not address any compliance issues though the OIG was aware of access challenges with at least one IC agency.  <del>(U//FOUO)</del> To close, the OIG needs to verify there is accountability for compliance issues identified in the annual PMP. That accountability should link to consequences from CFO (recommendation above).	<del>(U//FOUO)</del> OPEN
k. <del>(U//FOUO)</del> DDNI's develop a feedback mechanism within the ODNI staff and with the IC agencies no later than 90 days after signature. This feedback should inform the IC agencies what is being done with requested information, and it should provide details of value-added contributions by the ODNI staff.	September 2009	<del>(U//FOUO)</del> The DIS Memo to the ODNI staff (E/S 00770) dated 16 Jul 09 reinforced this recommendation.	<del>(U//FOUO)</del> CLOSED
(U//FOUO) CRITICAL IC MANAGEMENT CHALLENGES (Issued Nov 2008) (TOTAL: 16; CLOSED: 11; OPEN: 2)			
a. (U) Define the relative internal authorities of the DNI, PDDNI, and other ODNI senior staff.	November 2008		(U) CLOSED
b. (U) Publish ICD 501 and supporting directives providing IC-level policies that expand and improve information access for the analytic community.	November 2008		(U) CLOSED

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## (U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
c. (U) Develop and implement an IC plan for standardizing communication systems and streamlining the IC data sharing and storage architecture to reduce redundancy and simplify data access and retrieval.	November 2008		(U) CLOSED
d. (U) Require collaboration among the national agencies on programs, systems, and acquisitions in accordance with functional management authorities and responsibilities.	November 2008		(U) CLOSED
e. (U) Develop MOUs for IC elements that are accountable to both ODNI and another government agency to delineate financial-related roles and responsibilities.	November 2008		(U) CLOSED
f. (U) Engage the DoJ at its highest levels in order to communicate national intelligence interests to the DoJ and to implement appropriate legal and policy changes throughout the IC.	November 2008		(U) CLOSED
g. (U) Focus efforts on finalizing the ODNI's guidelines implementing EO 12333.	November 2008		(U) CLOSED
h. (U) Finalize and publish critical ICs, including ICD 101, ICD 303, ICD 306, and ICD 301 - "Access to and Dissemination of Intelligence."	(issued: November 2008) DDNI/PPR		(U) CLOSED
i. (U//FOUO) Develop a formal ODNI process for timely responding to OIG recommendations and for tracking implementation of recommendations that are accepted by management.	(issued: November 2008) CMO	(U//FOUO) OIG and the CMO follow a process for ODNI to respond to OIG recommendations in a timely manner. OIG and the DIS will continue to track and monitor open recommendations under this process until such time as this process can be formalized. OIG to re-send proposed process to DIS for formalization.  This can be closed when the process is formalized.	(U//FOUO) OPEN

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
j. <del>(U//FOUO)</del> Appoint a senior ODNI official responsible for improving collaboration and integration between and among 'traditional' intelligence agencies (those focused exclusively on intelligence gathering and analysis, such as CIA, NSA, DIA and NGA and IC entities with dual law enforcement and intelligence missions (such as the FBI and DHS).	November 2008	<input type="checkbox"/>	<del>(U//FOUO)</del> CLOSED
k. <del>(U//FOUO)</del> Revise the auditability strategy with target dates for achieving auditability based on standard financial systems and ICBT initiatives and monitor progress towards auditability.	(issued: November 2008) CFO, CIO, BTO	<input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> OPEN
l. <del>(U//FOUO)</del> Complete and submit to Congress the remaining financial plans and architectures that were due to the SSCI in 2005.	(issued: November 2008) CIO (BTO)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> CLOSED
m. <del>(U//FOUO)</del> Expedite the finalization and issuance of common U.S. persons rules, principles, or presumptions.	(issued: November 2008) OGC	<input type="checkbox"/> <input type="checkbox"/>	<del>(U//FOUO)</del> CLOSED

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
n. (U) Publish a definition of collaboration for the IC.	August 2008 PAO	(U) NIS defined "collaboration."	(U) CLOSED
o. (U) Lead the effort to define what constitutes a "system" for consistent IC application for both auditability and business transformation.	(issued: November 2008)  ADNI/CIO; CFO; coord with PPR	(U) The clear and understandable definition of a system does not exist throughout the federal government. Therefore, the IC CIO is unable to develop a definition just for the IC because most agencies are dual-hatted (IC and DoD). However, in April 2010 in response to our more recent report <i>Evaluation of Issues Related to Implementation of the Federal Information Security Management Act Within the Intelligence Community</i> , we are recommending that the IC CIO develop guidance for the IC agencies that identifies what definition should be used for FY 2010 FISMA reviews.  (U) Therefore, we are closing this recommendation and tracking it under the more recent report.	(U) CLOSED
p. (U//FOUO) Ensure prompt and complete implementation of the recommendations of the FISA Panel.	(issued: November 2008) DDN/C.	(U//FOUO) ODNI has established process for ensuring implementation of the FISA Panel recommendations.	(U//FOUO) CLOSED
<b>IC/IC WIDE INTEGRATION AND COLLABORATION DIAGNOSTIC AND RECOMMENDATIONS (Issued Aug 2008)</b> (TOTAL = 29; CLOSED = 26; OPEN = 3)			
a. (U) Appoint a senior officer to assist the DNI in ensuring the implementation of and compliance with recommendations contained in OIG reports and relevant recommendations from other reports.	August 2008	(U) The Director of the Intelligence Staff was given this responsibility.	(U) CLOSED
b. (U) Make the EXCOM and DEXCOM permanent entities.	August 2008	(U) Charters establishing the EXCOM and DEXCOM were disseminated on 12 June 2009.	(U) CLOSED
c. (U) Clearly define and communicate the roles and responsibilities of the DEXCOM to the IC.	August 2008	(U) Charters establishing the EXCOM and DEXCOM were disseminated on 12 June 2009.	(U) CLOSED
d. (U) Direct IC leaders to instruct their respective elements to coordinate hardware acquisition requirements through the appropriate ODNI organization.	August 2008		(U) CLOSED

*Semiannual Report 1 July 2010 – 31 December 2010***(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
e. (U) Finalize and communicate an IC systems architecture plan that acknowledges stakeholder inputs and requirements as appropriate.	August 2008	(U) Joint Architecture Reference Model V 1.0 baselined by Joint Systems Engineering forum 29 April 2009 and briefed to IC2PAC 16 June 2009.	(U) CLOSED
f. (U) Identify and develop an action plan to address the technological challenges that inhibit collaboration and information sharing – particularly, non-compatible IT networks deployed across the IC, and insufficient bandwidth for video teleconferencing.	August 2008	(U) Information Integration Program (I2P) Roadmap Version 2.51 published June 2009 provides integrated plan to align over 38 initiatives to improve information integration across the IC.	(U) CLOSED
g. (U) Require ODNI organizations to inform IC organizations of the purpose and outcomes of data calls as part of the tasking process.	August 2008	(U) Memo from the DIS to all component heads directed them to provide this information in conjunction with ODNI tasking.	(U) CLOSED
h. (U) Share the results of this Diagnostic with IC elements.	(issued: August 2008) DIS		(U) CLOSED
i. (U) Brief the ICLC on the results of this baseline Diagnostic.	(issued: August 2008) OIG/DIS		(U) CLOSED
j. (U) Require all DNI direct reports and Mission Managers to develop and articulate their respective roles and responsibilities.	(issued: August 2008) DIS		(U) CLOSED
k. (U) Develop an audio-visual presentation introducing the DNI and the role of the ODNI in the IC to all new IC members.	(issued: August 2008) PAO	(U) ODNI PAO released the ODNI Command Briefing in March 2010 for use across the IC.	(U) CLOSED
l. (U) Coordinate and consolidate hardware and software acquisition requirements.	(issued: August 2008) CIO		(U) CLOSED

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
m. (U) Direct the DDNI for Analysis to develop and implement procedures to ensure the accuracy and quality of information in the Analytic Resources Catalog (ARC).	(issued: August 2008) DDNI/CHCO	(U) CHCO has launched the Intelligence Capabilities Catalogue (IC3) to replace the ARC.	(U) CLOSED
n. (U) Ensure that all IC elements are incorporating the requirements of ICD 651 in employee performance appraisals.	(issued: August 2008) ADNI/CHCO	(U) CHCO has documented that 16 IC elements have implemented the requirements of ICD 651 in performance appraisals, one is in the process of implementing.	(U) CLOSED
o. (U) Direct the ADNI/CIO, in coordination with the DDNI/C and DDNI/PPR, to ensure through appropriate guidelines, policies, directions, standards and business practices that web-based tools and technology enable and enhance mission effectiveness.	(issued: August 2008) ADNI/CIO lead; DDNI/A, DDNI/C, DDNI/PPR	(U) A-space User's Guide and Sourcing Requirements for Disseminating Analytic Products. A-Space is covered in Analysis 101.	(U) CLOSED
p. (U) Identify, compile, maintain, and distribute to the IC a list of the expertise of all IC elements.	(issued: August 2008) CHCO	(U) IC3 will provide resident expertise at all IC elements.  (U) The PDR has no expected completion date yet. Mary Kay is the POC. She hopes to have milestones by Jan 2011.  (U) Recommendation will be closed once the IC3 is deployed and accessible.	(U) OPEN
q. (U) Create a clear and succinct mission and vision statement for the ODNI. Publish and communicate the ODNI mission and vision to the ODNI and IC elements.	(issued: August 2008) DIS; PAO	(U) 13 Dec 2010 – PAO provided the CMO with a draft mission and vision statement, which is in review and coordination. PAO is drafting a communications plan to launch the mission and vision statement in January 2011.  (U) This recommendation will close when a mission and vision statement have been formalized and a communications plan has been developed that effectively publishes and communicates the mission and vision to the ODNI and IC.	(U) OPEN
r. (U) Publish a definition of collaboration for the IC.	(issued: August 2008) PAO		(U) CLOSED
s. (U) Determine which recommendations made in the <i>Achieving a Robust Collaborative Environment</i> study should be implemented and appoint a senior officer to implement those recommendations	(issued: August 2008) DIS	(U) Per 3/25/10 email from D/DIS many of the recommendations of the study were incorporated in other DNI initiatives. Several other recommendations are not in line with the NIS and therefore should not be implemented.	(U) CLOSED

*Semiannual Report 1 July 2010 – 31 December 2010***(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date- Recipient	Actions to Date	Status
t. (U) Establish an "Ask the DNI" link on the DNI homepage to solicit questions and comments from the IC workforce.	(issued: August 2008) PAO	(U) PAO has updated all marketing materials and has finalized technical changes to the Ask the DNI program. <ul style="list-style-type: none"> <li>• 3 week pilot to launch 17 Dec to limited ODNI</li> <li>• 17 Jan launch to entire ODNI workforce</li> <li>• Planned March/April launch to IC</li> </ul> (U) Recommendation can be closed when "Ask the DNI" is available to the IC workforce.	(U) OPEN
u. (U) Communicate immediately to the IC all appropriate EXCOM agendas and minutes.	(issued: August 2008) DIS		(U) CLOSED
v. (U) Incentivize individual and organizational collaboration.	(issued: August 2008) CHCO		(U) CLOSED
w. (U) Make Joint Duty assignments reimbursable or institute exchange arrangements in order to address the issue of mission impact.	(issued: August 2008) CHCO	(U) Some exchange arrangements have been implemented. This recommendation – and other related recommendations – was captured in our Joint Duty Report (Nov 09).	(U) CLOSED
x. (U) Make Joint Duty opportunities available for more IC professional categories.	(issued: August 2008) CHCO		(U) CLOSED
y. (U) Communicate Joint Duty successes and rewards to the IC as a way to encourage participation.	(issued: August 2008) CHCO		(U) CLOSED
z. (U) Regularly disseminate leadership messages, including various report findings and IC developments to the IC.	(issued: August 2008) PAO		(U) CLOSED
aa. (U) Provide the IC with periodic reports on progress being made in addressing the findings outlined in the November 19, 2007 ODNI IG Dissemination Report.	(issued: August 2008) PPR		(U) CLOSED
bb. (U) Communicate and implement ODNI meta-data tagging standards and	(issued: August 2008) PPR		(U) CLOSED



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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
processes across the IC included in the 300 Day Plan.			
cc. (U) Direct all IC elements to recognize the Community badge for facility access and begin acquiring devices that recognize Community badges.	(issued: August 2008) DNI		(U) CLOSED
<b>July 2008 Federal Information Security Management Act Review</b> (Issued Aug 2008) (TOTAL - 10 - CLOSED: 9, OPEN: 1)			
1a. (U) CIO complete a documented comprehensive information security program consistent with FISMA requirements that includes the following risk elements: 1) periodic risk assessments, 2) policies and procedures based on risk assessments, 3) plans for providing appropriate information security, 4) Periodic testing and evaluation of the information security policies and procedures, 5) A process for developing a plan of action, and 6) Plans and procedures for developing continuity of operations for information systems.	(issued: August 2008) ADN/CIO	(b)(5)	(U) OPEN
1b. (U) CIO to establish milestones and complete strategic plans and programs and finalize system inventories.	(issued: August 2008) ADN/CIO		(U) CLOSED

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
1c. (U) CIO develop information security strategic plans that define the following for its information security program: 1) Clear and comprehensive mission, vision, goals, and objectives and how they relate to agency mission, 2) High level plan for achieving information security goals and objectives, including short and mid-term objectives to be used throughout the life of this plan to manage progress toward successfully fulfilling the identified objectives, and 3) Performance measures to continuously monitor accomplishment of identified goals and objectives and their progress toward stated targets.	(issued: August 2008) ADNI/CIO	(U) ADNI/CIO issued an IC Information Security Strategy, but the strategy does not contain performance measures. CIO will have performance measures by 2011 or beyond. Recommendation closed because CIO continues to follow OMB's security performance measures via IC-wide quarterly and annual FISMA reporting per FISMA legislation.	(U) CLOSED
1d. (U) CIO to establish milestones for completion of the information security strategic plans.	(issued: August 2008) ADNI/CIO		(U) CLOSED
2a. (U) CIO in coordination with D/DMS to establish a roadmap to identify the inventory of systems that are ODNI responsibility and those that are IC-wide responsibility and establish a timeframe for completion of roadmap.	(issued: August 2008) CIO/DMSC	(U) MSC has completed its roadmap. ODNI OIG reconciled the inventories during the FY 2009 FISMA review. However, this remains a legislative recurring requirement (44 USC Sec 3505 (c) (1) for MSC and the IC CIO.	(U) CLOSED
3a. (U) D/DMS to designate a senior agency official responsible for security of ODNI information and information systems whether ODNI owned or operated by another agency or by a contractor on behalf of ODNI.	(issued: August 2008) MSC		(U) CLOSED
3b (U) D/DMS complete a documented comprehensive information security	(issued: August 2008)		(U) CLOSED

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
program consistent with FISMA requirements that includes the following elements: 1) periodic risk assessments, 2) policies and procedures based on risk assessments, 3) plans for providing appropriate information security, 4) Periodic testing and evaluation of the information security policies and procedures, 5) A process for developing a plan of action, and 6) Plans and procedures for developing continuity of operations for information systems.	MSC		
3b. (U) D/DMS to establish milestones for completion of the information security program.	(issued: August 2008) MSC		(U) CLOSED
3c. (U) D/DMS develop information security strategic plans that define the following for its information security program: 1) Clear and comprehensive mission, vision, goals, and objectives and how they relate to agency mission, 2) High level plan for achieving information security goals and objectives, including short and mid-term objectives to be used throughout the life of this plan to manage progress toward successfully fulfilling the identified objectives, and 3) Performance measures to continuously monitor accomplishment of identified goals and objectives and their progress toward stated targets.	(issued: August 2008) MSC		(U) CLOSED
3d. (U) D/DMS to establish milestones for completion of the information security strategic plans.	(issued: August 2008) MSC		(U) CLOSED

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
<b>IC INTELLIGENCE COMMUNITY (IC)-WIDE REVIEW OF THE TERRORIST WATCHLIST NOMINATION PROCESS (Issued: Feb. 2008) (TOTAL = CLOSED: 5)</b>			
(U) Promulgate a comprehensive IC-wide policy clearly defining agency / departmental roles and responsibilities with regard to the watchlist nomination process.	(issued: February 2008)  NCTC lead; DDNI/PPR/ Policy		(U) CLOSED
(U) Publish interim IC-wide guidance that states the DNI's vision for the IC watchlist mission and requires each agency/department to: assign responsibility for watchlist functions, collaborate with NCTC to align responsibilities for IC watchlist functions, and identify watchlist activities/program funding requirements and/or shortfalls.	(issued: February 2008)  NCTC; DDNI/PPR/ Policy		(U) CLOSED
(U) Develop a formal plan (with timelines) for agencies/departments to assume responsibility from CIA for reviewing their own real-time cable traffic for the purpose of terrorist watchlist nominations to NCTC.	(issued: February 2008)  NCTC		(U) CLOSED
(U) Develop a standardized format for agency/department nominations to NCTC and formalize the business process for IC watchlist nominations to NCTC.	(issued: February 2008)  NCTC		(U) CLOSED
(U) Develop and maintain a central repository for watchlist governing documents for the IC.	(issued: February 2008)  NCTC		(U) CLOSED

(b)(3)

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date Recipient	Actions to Date	Status
(U) In coordination with DOJ/FBI, build on the Terrorist Screening Center (TSC) protocols and provide additional guidance to the watchlist community.	(issued: February 2008)  NCTC		(U) CLOSED
(U) Update the TSC Memorandum of Understanding (MOU) on the Integration and Use of Screening Information and Addendum B to the MOU to ensure all participating IC agencies/departments are signatories.	(issued: February 2008)  NCTC		(U) CLOSED
(U) Consider options for incorporating the resources that fund the IC's support for the watchlisting effort into the NIP budget rather than relying primarily on CT supplemental funding.	(issued: February 2008)  NCTC; CFO		(U) CLOSED
(U) Work with Congress to change the provisions of Title 50, United States Code, Section 404n-2 to reflect the establishment of NCTC's Terrorist Identities Datamart Environment (TIDE) as the USG's central and shared knowledge bank of international terrorist information, replacing the Terrorist Identification Classification System (TICS) requirements specified under this section.	(issued: February 2008)  NCTC; OGC; OLA		(U) CLOSED
(U) REVIEW OF IC WIDE DISSEMINATION OF SENSITIVE REPORTING. (Issued Nov. 2007) (TOTAL - 5, CLOSED; 5, OPEN; 3)			
a. (U//FOUO) Appoint a senior ODNI official reporting directly to the DNI or Principal Deputy DNI (PDDNI), with the authority and duty to promulgate policies and procedures on intelligence dissemination and access.	November 2007	(U//FOUO) Per ICD 101, the DDNI/PPR has the authority to promulgate IC Policy Guidance.	(U//FOUO) CLOSED

(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
b. (U//FOUO) Appoint a senior ODNI official reporting directly to the DNI or PDDNI, with the authority and duty to establish a process for monitoring implementation of the policies and procedures by the agencies.	November 2007	(U//FOUO) The ADNI CIO was appointed the IC Information Sharing Executive in 2007. In 2010, the title of Information Sharing Executive was delegated from the IC CIO to the Deputy Assistant DNI for Policy and Strategy. The new IC ISE is directly responsible to the PDDNI.	(U//FOUO) CLOSED
c.1. (U) Establish and promulgate IC standards and a process for the dissemination of sensitive intelligence reporting to ensure that customer requirements are better met. Standards should include or provide for: A common definition of sensitive intelligence	(issued: November 2007) ADNI/P&S lead; ADNI/CIO	(U) The DNI issued ICD 501, ICPG 501.1, and 501.2, but the DNI has not defined "sensitive intelligence." The IC ISE expressed doubts about the desirability of defining sensitive intelligence but, as an alternate approach, is working to develop criteria that describe information types to be considered sensitive intelligence.  (U) OIG will evaluate the descriptive criteria to be produced by the IC ISE and close the recommendation subsequent to its promulgation to the IC.	(U) OPEN
c.2. (U) Common IC policies and procedures for the dissemination of sensitive intelligence.	IC-ISE	(U) The DNI published ICD 501 and implementation documents ICPG 501.1 and 501.2 in 2009, establishing conceptual processes for dissemination of intelligence.	(U) CLOSED
c.3. (U) A common architecture that horizontally integrates the delivery of IC sensitive reporting while ensuring that adequate safeguards are in place.	ADNI CIO	(U) The ODNI ISE has scheduled the inclusion of Sensitive Intelligence into a IC wide IT framework during Phase E of ICD 501 Implementation. There is currently no set date for implementation of Phase E.  <div style="border: 1px solid black; width: 200px; height: 20px; margin: 5px auto;"></div>	(U) OPEN
c.4. (U) The creation of an IC office staffed with representatives from consumer agencies and analytic components to oversee IC sensitive reporting or a process to leverage such expertise virtually.	IC-ISE	(U) In 2007, the IC-ISE established the Information Sharing Steering Committee. Additionally, the ODNI CAPOC SRG performs much of the governance and oversight envisioned by this recommendation.	(U) CLOSED

(b)(3)

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

Recommendation	Due Date/Recipient	Actions to Date	Status
<p>c.5.a. (U) Based upon the results of our Phase Two review, we believe that the CIA joint review board or the dissemination office concept should be adopted by all major IC collection agencies. Agencies should participate in an IC-wide policy board with support and oversight provided by the ADNI/Dissemination. This would maximize Community integration, enhance information sharing, and make best use of available resources. Functions to be accomplished by the dissemination offices/review boards would include, but not be limited to:</p> <p>b. (U) Reviewing all sensitive reporting for appropriateness of dissemination, unless the reporting is specifically exempted by the DNI or his designated representative.</p> <p>c. (U) Overseeing requests for expanded dissemination and providing an appeals process for consumers to dispute collectors' dissemination decisions. In rare cases when an impasse occurs, the final decision authority will be the DNI or his designated representative.</p> <p>d. (U) Coordinating reading requirements or developing alternatives to ensure consumer agencies and analytic components receive the intelligence they need.</p> <p>e. (U) Developing training to ensure the proper handling and use of sensitive reporting by collection agencies, consumer agencies, and analytic components.</p>	<p>IC-ISE</p>	<p>(U) ICPGs 501.1 and 501.2 partially address elements of this recommendation.</p> <p>(U) SRB members have not yet been granted unfettered access to all CAPs producing intelligence information. The DNI-appointed Senior Review Group (SRG) granted SRB members access to only those intelligence-producing CAPs with more than 1,000 witting members. This arbitrary limit currently limits the SRBs' ability to proactively ensure that intelligence dissemination is optimized. However, the OIG is optimistic about recent efforts of SRG leadership and the IC ISE to engage IC SRB personnel.</p> <p>(U) OIG will evaluate SRG oversight and engagement of IC SRBs over the next reporting period to ascertain whether quantity and quality of SRG engagement and oversight of IC SRBs meets the intent of this recommendation.</p>	<p>(U) OPEN</p>

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(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010

Recommendation	Due Date Recipient	Actions to Date	Status
<p>f. (U) Monitoring and reporting, as appropriate, instances of improper handling or use of sensitive reporting by collection agencies, consumer agencies, and analytic components.</p> <p>g. (U) Coordinating and/or conducting periodic reviews of collection agencies' sensitive reporting for possible expanded dissemination to address IC concerns that sensitive reporting, once compartmented, is not further reviewed for expanded dissemination.</p> <p>h. (U) Coordinating the assignments of personnel from consumer agencies and analytic components to the collection agencies, on a selective basis, to enhance dissemination decision-making by providing additional Community perspective.</p> <p>i. (U) Coordinating access to sensitive reporting by collection agencies, consumer agencies, and analytic components; maintaining access</p>			
<p>d. (U//FOUO) Assess the current compartmentation policies constraining the use and release of the Analysis Integration Center (now the Integrated Operations Center for Special Projects) reporting.</p>	<p>(issued: November 2007) PPR/SSC</p>	<p>(U//FOUO) NGA performed this analysis in the establishment of a control system for compartmented GEOINT.</p>	<p>(U//FOUO) CLOSED</p>

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**(U) Appendix A: Status of ODNI OIG Recommendations Made Between 2007 and 2010**

(b)(5)

<b>(U) OIG REPORTS (2007-2010) STATUS OF RECOMMENDATIONS</b>				
<b>Number of Recommendations</b>	<b>Number Closed</b>	<b>Percent of Total</b>	<b>Number Open</b>	<b>Percent of Total</b>
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(b)(3)

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(b)(3)

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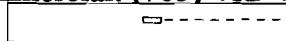
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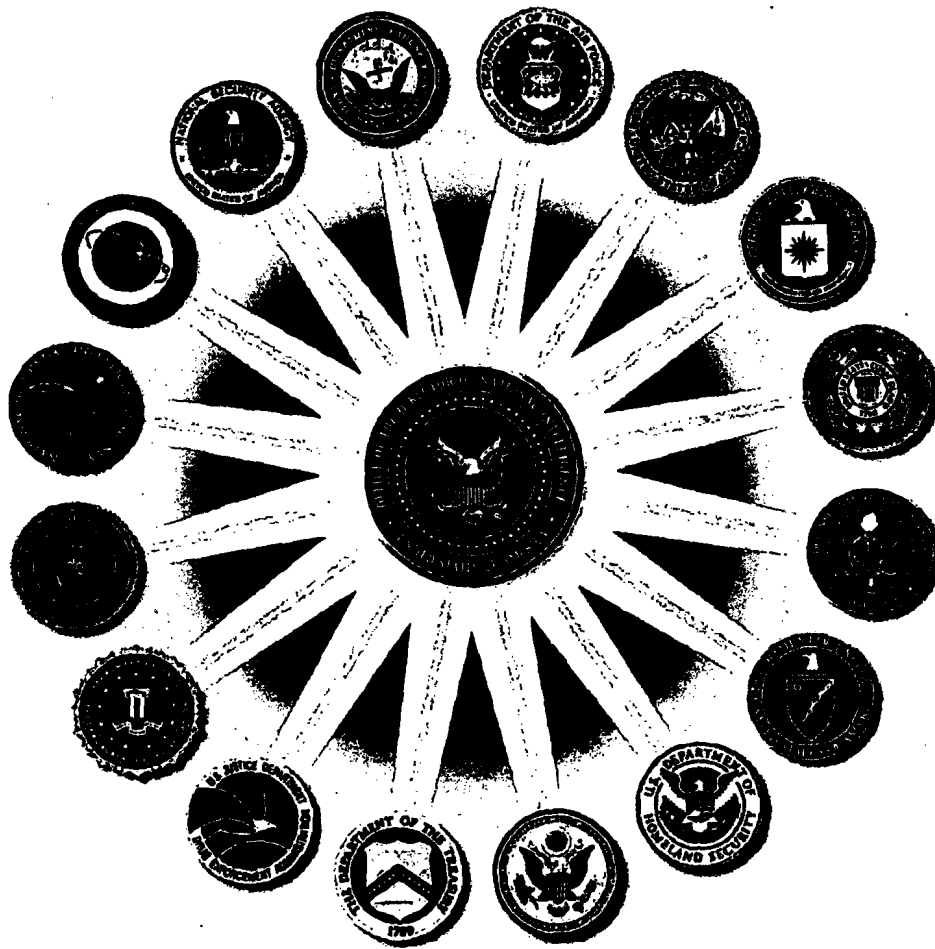
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